



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 :  A01N 25/00		A2	(11) International Publication Number: <b>WO 99/00013</b>
			(43) International Publication Date: 7 January 1999 (07.01.99)
(21) International Application Number: PCT/US98/13378		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 26 June 1998 (26.06.98)			
(30) Priority Data: 60/051,285 30 June 1997 (30.06.97) US 09/104,565 25 June 1998 (25.06.98) US			
(71) Applicant: MONSANTO COMPANY [US/US]; 800 N. Lindbergh Boulevard, St. Louis, MO 63167 (US).			
(72) Inventors: BOTTs, M. Francis; 57 Country Creek Drive, St. Peters, MO 63376 (US). KOHN, Frank, C.; 12532 Larkwood Drive, St. Louis, MO 63146 (US). MILLER, Maria, L.; 1102 Greystone Manor Parkway, Chesterfield, MO 63005 (US).			
(74) Agent: SZCZEPANSKI, Steven, Z.; Arnold, White & Durkee, P.O. Box 4433, Houston, TX 77210 (US).			

(54) Title: MICROPARTICLES CONTAINING AGRICULTURAL ACTIVE INGREDIENTS

## (57) Abstract

One or more agricultural active ingredients (such as fungicides or insecticides) are entrapped in polymeric matrixes to form microparticles having a diameter in the range from about 0.2 to about 200 microns. The microparticles are applied to soil, to seeds, or to plants and release the active ingredient(s) at a rate sufficiently low to avoid phytotoxicity but at a rate sufficiently high to provide effective amounts of the active ingredient(s), preferably throughout the growing period of the plant.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

## MICROPARTICLES CONTAINING AGRICULTURAL ACTIVE INGREDIENTS

### BACKGROUND OF THE INVENTION

This invention relates to compositions and methods for controlled release of 5 agricultural chemicals.

Most agricultural chemicals, such as biocides, chemical hybridizing agents, or plant growth regulators are applied to plants, to seeds, or to the soil at the beginning of the growing season and must maintain their effectiveness throughout the period of plant growth, which can last for several months. However, the chemicals may be degraded by 10 chemical or biological processes and are removed by wind or water from the site of application. As a result, it is necessary to apply high rates of to maintain the desired effect over time or to apply the chemicals repeatedly during the growing season. However, high rates of certain agricultural chemicals can be phytotoxic. Many fungicides, especially triazole fungicides, can be too phytotoxic when applied in amounts 15 that are necessary to provide adequate disease control.

Patents and published patent applications disclosing various controlled-release formulations include U.S. Patents No. 4,172,119, 4,915,947, 5,225,278, 5,277,979, and 5,725,869; European Patent Publications 0 004 758-A2, 0 018 119-A1, and 0 763 510-A1; and PCT Application WO 88/08300.

20 There remains is a need for controlled-release formulations by which an agricultural chemical can be delivered to a plant over the entire growing season at rates that are agriculturally effective, while reducing or eliminating the phytotoxicity of the chemical to the plant or to seeds. Preferably, such formulations would include an amount of an active ingredient that is close to the minimum amount needed to obtain the desired 25 effect in order to reduce environmental impacts and to reduce costs.

### SUMMARY OF THE INVENTION

We have discovered controlled-release formulations for agricultural chemicals that comprise microparticles in which one or more agricultural active ingredients are

dispersed or distributed in a polymeric matrix. Such controlled-release formulations are safe when applied to seeds or to plants even though they contain levels of active ingredients that would be phytotoxic if applied to the seeds or plants in standard fast-release formulations. Microparticles according to the present invention can release an active ingredient(s) at beneficial levels throughout the growth period of common crop plants (e.g., for at least two to twelve weeks or more) and therefore can reduce or eliminate the need for subsequent applications of the agricultural chemical. The rate of release of agricultural chemicals, and the period over which effective amounts of such chemicals can be released, can be tailored as desired. Such controlled-release compositions increase the period during which an agricultural chemical is effective, reduce the initial toxicity of the chemical to seeds or crop plants, expand the range of compounds that can be used for agricultural applications, and decrease the environmental impact of chemical treatment.

According to one aspect of the invention, controlled-release compositions for delivering an agricultural chemical to a plant comprise microparticles having an average diameter of about 0.2 microns to about 200 microns. The microparticles each comprise a polymer matrix and at least one agricultural chemical distributed throughout the matrix. The microparticles include about 1 to about 50% by weight of the agricultural chemical and about 50% to about 99% by weight of the polymer matrix.

According to another aspect of the invention, such compositions include suspensions of the microparticles in an aqueous medium, wettable powders, wettable granules, dry granules, and seed coatings, for example. According to at least some embodiments of the invention, the microparticles adhere to a surface of a seed or plant.

According to another aspect of the invention, such compositions include an amount of the agricultural chemical and release the agricultural chemical at a rate such that the composition delivers an agriculturally active amount of the agricultural chemical to the plant for a period of at least about two weeks to about twelve weeks, preferably throughout the growth period of the plant.

According to another aspect of the invention, such compositions reduce the phytotoxicity of the agricultural chemical by at least two-fold (as compared to conventional fast-release formulations of the chemical). For example, in the case of seed coating compositions according to the present invention, the composition can include an

amount of the agricultural chemical that would be substantially phytotoxic if applied to the surface of the seed as a fast-release formulation of the agricultural chemical.

According to another aspect of the invention, the agricultural chemical is a biocide (e.g., a triazole fungicide such as cyproconazole, epoxiconazole, and 5 tebuconazole), a plant growth regulator, a chemical hybridizing agent, a plant nutrient, or combinations thereof.

According to another aspect of the invention, the polymer is selected from the group consisting of poly(methylmethacrylate), poly(lactic acid), poly(lactic acid-glycolic acid) copolymers, cellulose acetate butyrate, poly(styrene), hydroxybutyric acid-hydroxyvaleric acid copolymers, styrene maleic anhydride copolymers, poly(methylvinyl ether-maleic acid), poly(caprolactone), poly(n-amylmethacrylate), wood rosin, polyanhydrides, polyorthoesters, poly(cyanoacrylates), poly(dioxanone), ethyl cellulose, ethyl vinyl acetate polymers, poly(ethylene glycol), poly(vinylpyrrolidone), acetylated mono-, di-, and triglycerides, poly(phosphazene), chlorinated natural rubber, vinyl polymers, polyvinyl chloride, hydroxyalkylcelluloses, polybutadiene, polyurethane, vinylidene chloride polymers, styrene-butadiene copolymers, styrene-acrylic copolymers, alkylvinylether polymers, cellulose acetate phthalates, ethyl vinyl phthalates, cellulose triacetate, polyanhydrides, polyglutamates, polyhydroxy butyrates, polyvinyl acetate, vinyl acetate-ethylene copolymers, vinyl acetate-vinylpyrrolidone copolymers, acrylic 20 polymers, alkyl acrylate polymers, aryl acrylate polymers, aryl methacrylate polymers, poly(caprolactams), epoxy resins, polyamine epoxy resins, polyamides, polyvinyl alcohol polymers, polyalkyd resins, phenolic resins, abietic acid resins, silicones, polyesters, and copolymers and combinations thereof.

According to another aspect of the invention, such compositions also include a 25 dispersing agent, including methyl cellulose, poly(vinyl alcohol), lecithin, and combinations thereof.

According to another aspect of the invention, seeds are provided that comprise such compositions, e.g., as seed coatings.

According to another aspect of the invention, methods are provided for delivering 30 an agricultural chemical to a plant comprising providing to the plant a composition as discussed above, for example, by application of the composition to soil, application of the composition to foliage of the plant, and coating a seed prior to germination of the

seed to produce the plant. When applied to soil or foliage, for example, the composition can be applied, for example, in an amount such that about 1 g to about 10 kg of the agricultural chemical is applied per hectare. When applied as a seed coating, the composition can be applied in an amount such that about 1 gram to about 500 grams of 5 the agricultural chemical is applied to 100 kg of seed.

According to another aspect of the invention, methods are provided for producing the compositions described above. Such methods include the steps of: (a) dissolving at least one agricultural chemical and a polymer in an organic solvent to form a hydrophobic solution; (b) mixing the hydrophobic solution and an aqueous medium at a 10 shear rate and for a time period sufficient to produce an emulsion having droplets of the hydrophobic solution dispersed in the aqueous medium; and (c) evaporating the organic solvent from the emulsion to produce a plurality of microparticles having an average diameter of about 0.2 micron to about 200 microns and comprising said at least one agricultural chemical distributed throughout a polymer matrix. According to some 15 embodiments of the invention, such methods include one or more of the further steps of: dissolving a dispersing agent in an aqueous solution to produce the hydrophilic solution; and suspending the microparticles in an aqueous medium.

Other aspects of the present invention will become apparent to those skilled in the art upon studying this disclosure and the accompanying claims.

20

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows the effect of matrix microparticle formulations of Example 7 and Alto<sup>®</sup> 005LS when used as seed treatments on wheat at various rates (g cyproconazole per 100 kg seed), expressed as the height of germinating wheat at 11 days after planting 25 (DAP) as a percent of the height of untreated controls.

FIG. 2 shows the effect of matrix microparticle formulations of Example 8 and Alto<sup>®</sup> 005LS when used as seed treatments on wheat at various rates (g cyproconazole per 100 kg seed), expressed as the height of germinating wheat at 10 DAP as a percent of the height of untreated controls.

30 FIG. 3 shows the effect of matrix microparticle formulations of Example 9 and Alto<sup>®</sup> 005LS when used as seed treatments on wheat at various rates (g cyproconazole

per 100 kg seed), expressed as the height of germinating wheat at 10 DAP as a percent of the height of untreated controls.

FIG. 4 shows the effect of matrix microparticle formulations of Example 10 and Alto<sup>®</sup> 005LS when used as seed treatments on wheat at various rates (g cyproconazole per 100 kg seed), expressed as the height of germinating wheat at 11 DAP as a percent of the height of untreated controls.

FIGS. 5 and 6 show the effect of a matrix microparticle formulation of Example 11, NBP5881012C, and Alto<sup>®</sup> 005LS when used as a seed treatment on wheat at various rates (g cyproconazole per 100 kg seed), expressed as the height of germinating wheat at 10 DAP (FIG. 5) or 9 DAP (FIG. 6) as a percent of the height of untreated controls.

FIG. 7 shows the effect of matrix microparticle formulations of Example 12, NBP 5881012C, NBP 5890983A, and Alto<sup>®</sup> 005LS, when used as seed treatments on wheat at various rates (g cyproconazole per 100 kg seed), expressed as the height of germinating wheat as a percent of the height of untreated controls at 9 DAP.

FIG. 8 shows the effect of matrix microparticle formulations of Example 12, NBP 5890983A, NBP 5890983B, and Alto<sup>®</sup> 005LS, when used as seed treatments on wheat at various rates (g cyproconazole per 100 kg seed), expressed as the height of germinating wheat as a percent of the height of untreated controls at 10 DAP.

FIG. 9 shows the effect of matrix microparticle formulations of Example 13, NBP 5890983C and NBP 5890983D, and Alto<sup>®</sup> 005LS, when used as seed treatments on wheat at various rates (g cyproconazole per 100 kg seed), expressed as the height of germinating wheat as a percent of the height of untreated controls at 10 DAP.

FIG. 10 shows the effect of a matrix microparticle formulation of Example 13, NBP 5890977D, and Alto<sup>®</sup> 005LS, when used as seed treatments on wheat at various rates (g cyproconazole per 100 kg seed), expressed as the height of germinating wheat as a percent of the height of untreated controls at 10 DAP.

FIG. 11 shows the effect of matrix microparticle formulations of Example 14 and Alto<sup>®</sup> 005LS when used as seed treatments on wheat at various rates (g cyproconazole per 100 kg seed), expressed as the height of germinating wheat as a percent of the height of untreated controls at 10 DAP.

FIG. 12 shows the effect of matrix microparticle formulations of Example 15 and Alto<sup>®</sup> 005LS when used as seed treatments on wheat at various rates (g cyproconazole

per 100 kg seed), expressed as the height of germinating wheat as a percent of the height of untreated controls at 10 DAP.

FIG. 13 shows the effect of matrix microparticle formulations of Example 16 and Alto<sup>®</sup> 005LS when used as seed treatments on wheat at various rates (g cyproconazole per 100 kg seed), expressed as the height of germinating wheat as a percent of the height of untreated controls at 10 DAP.

FIG. 14 shows the effect of matrix microparticle formulations of Examples 17 and 18, MON 38410 and MON 38411, respectively, and Raxil<sup>®</sup> when used as seed treatments on wheat at various rates (g tebuconazole per 100 kg seed), expressed as the height of germinating wheat as a percent of the height of untreated controls at 11 DAP.

FIG. 15 shows the effect of matrix microparticle formulations of Examples 19 and 20, MON 24530 and MON 24540, respectively, and Opus<sup>®</sup> when used as seed treatments on wheat at various rates (g epoxiconazole per 100 kg seed), expressed as the height of germinating wheat as a percent of the height of untreated controls at 11 DAP.

FIG. 16 shows the effect of matrix microparticle formulations of Example 21 at an application rate of 16 g cyproconazole per 100 kg seed and Alto<sup>®</sup> 005LS when used as seed treatments on wheat, expressed as a percent of the height of untreated controls at 8 DAP.

FIG. 17 shows the effect of NBP 6273923C [“fenpropimorph (matrix)”] and Corbel<sup>®</sup> [fenpropimorph] when used as seed treatments on wheat at various application rates, expressed as a percent of the height of untreated controls at 10 days after planting.

FIG. 18 shows the effect of NBP 6248096B [“MON 46100 (matrix)”] and unformulated MON 46100 [“MON 46100 (Acetone/H<sub>2</sub>O)”] when used as seed treatments on wheat at various application rates, expressed as a percent of the height of untreated controls at 10 days after planting.

FIG. 19 shows the effects of foliar applications of MON 38412, MON 24555, Raxil<sup>®</sup>, and Opus<sup>®</sup> on the vigor of soybean plants five days after treatment (on a scale of 1-5, untreated control plants = 5).

FIG. 20 shows the effects of MON 24555, Opus<sup>®</sup>, MON 38412, and Raxil<sup>®</sup> when used as seed treatments on soybeans at various application rates, expressed as a percent of untreated controls at 9 days after planting.

FIG. 21 shows the effectiveness against powdery mildew of wheat of seed treatments employing MON 38414 (30, 45, and 60 g tebuconazole/100 kg seed) and Raxil® (30 g tebuconazole/100 kg seed (42 days after planting).

FIG. 22 shows the effectiveness against powdery mildew of wheat of seed treatments employing MON 38414 and MON 38416 (45 and 60 g active ingredient/100 kg seed) and Raxil® (30 g tebuconazole/100 kg seed) (44 days after planting).

FIG. 23 shows control of brown rust of wheat (second leaf) by seed coatings comprising matrix microparticle formulations 5932839A, MON 24682, MON 24647 (each at 32 g cyproconazole per 100 kg seed), and Alto® 005LS (1 g cyproconazole per 100 kg seed). Plants were inoculated 20 DAP. Disease severity in untreated controls was 61.3%.

#### **DETAILED DESCRIPTION OF THE INVENTION**

The matrix microparticle formulations of this invention are useful in agriculture for a number of purposes, including, for example, the control of seed-borne and soil-borne pathogens and pests, as well as pests affecting above-ground portions of plants (stems, foliage, flowers, fruits), for the delivery of nutrients, chemical hybridizing agents and plant growth regulators, etc. It will also be appreciated that such formulations have a number of non-agricultural uses, such as in the delivery of pharmaceuticals to humans or to animals for therapeutic or prophylactic purposes; for the controlled release of chemicals in water treatment or conditioning; aquaculture; etc.

Controlled-release formulations according to the present invention substantially reduce the phytotoxicity of an agricultural chemical to a seed or a plant compared to a standard fast-release formulation of the chemical (i.e., one having a release rate that is substantially similar to that of the unformulated chemical). That is, such controlled-release formulations increase the "safety" of (or "safen"), the agricultural chemical. Therefore, for a given level of phytotoxicity, more of the chemical can be applied to a seed or a plant in the form of a controlled-release formulation according to the present invention than in a standard fast-release formulation. Preferably, a controlled-release formulation according to the present invention safens a particular chemical by at least two-fold, more preferably by at least five-fold, yet more preferably by at least ten-fold, and most preferably by at least twenty-fold or more. In fact, we have applied greater than one hundred times the amount of standard formulations of certain phytotoxic chemicals

with only minimal injury to plants. As shown in the Examples below, the safening of various agricultural chemicals such as triazole fungicides permits their use as seed treatments at levels that would otherwise prevent or slow germination or stunt the growth of plants that germinate from the seeds, increasing the effectiveness of such fungicides  
5 against various pathogens and pests.

Matrix microparticles according to the invention can be used according to any conventional formulation, including but not limited to: a suspension or slurry of matrix microparticles in an aqueous medium (e.g., water); wettable powders, wettable granules (dry flowable), and dry granules. If formulated as a suspension or slurry, a convenient  
10 formulation for storage and shipping, the concentration of the active ingredient in the formulation is preferably about 0.5% to about 50% by weight (w/w), preferably about 5% to about 40%. This concentration can be adjusted up or down based on the relative proportion of matrix microparticles and carrier medium (solid or liquid).

**15      Matrix microparticles.** The term "matrix" is defined as a surrounding material in which another material is entrapped, embedded, dissolved or dispersed. Microparticles of the present invention include a matrix that includes one or more polymers and one or more active ingredients that are distributed throughout the matrix. The microparticles may also include one or more inactive ingredients or additives (such as dispersants, for example). The microparticles of the present invention differ from "microcapsules," in  
20 which a polymeric shell surrounds a liquid or solid core that contains an active ingredient. In at least some embodiments of the microparticles of the present invention, the final product appears in freeze-fracture electron micrographs as solid, generally spherical particles that appear solid throughout, indicating that the active ingredient(s) is molecularly distributed or dispersed substantially uniformly throughout the matrix  
25 material. However, it is possible in at least some embodiments that an active ingredient may be macromolecularly distributed in the matrix, i.e., that a plurality of particles of the active ingredient are dispersed in the matrix.

The agriculturally active ingredient(s) comprises at least 1.0% to 50% by weight, preferably at least 15% by weight of the microparticles of the present invention. The  
30 matrix constitutes from about 50% to about 99% by weight of the microparticles, preferably about 50% to about 90%. It is most economical if the microparticle comprises a high proportion of the active ingredient(s). If the proportion of matrix components to

entrapped active ingredient(s) is too great, the rate of release of the entrapped material is generally too slow as to be effective and the amount of active ingredient(s) in the formulation is reduced, increasing the cost of an effective amount of the final product. If the proportion of the matrix component to the entrapped material component is too small, 5 the rate of release will generally be too high, leading to phytotoxicity and reducing the period of effectiveness of the active ingredient. As the concentration of the active ingredient increases in the microparticle, release rates also generally increase.

The average matrix component weight, as a percent of the total weight of the matrix microparticle (combined weight of matrix, active ingredient(s) and any inactive 10 ingredients entrapped in the polymer matrix), can be estimated from the amount of ingredients in the composition for preparing the product, assuming that the ingredients mix uniformly and completely.

Microparticle preparations of the present invention preferably have average particle sizes in the range from about 0.2 microns to about 200 microns in diameter, more 15 preferably between about 1 micron and about 50 microns in diameter, yet more preferably about 3 microns to about 50 microns in diameter. Smaller average particle sizes generally have a higher release rate and area coverage of active ingredient, together with a higher activity and phytotoxicity of the active ingredient. Conversely, larger average microparticle sizes are associated with low area coverage and reduced 20 phytotoxicity, but also lower activity and reduced phytotoxicity. In addition, the small size of the microparticles of the present invention permit such microparticles to be taken up by a plant together with soil water and be transported throughout the plant, effecting systemic delivery of active ingredients. The size of the matrix microparticles is controlled during the process of entrapment by employing a mixing, stirring, or agitating 25 (including homogenizers and sonicators) means at a suitable rate of speed to form droplets of the ingredients to be entrapped.

The particle size of the matrix microparticles of this invention is measured by using a microscope with a calibrated reticle and visually estimating the average particle size. Alternatively, the size can be determined by electronic means using a Coulter LS 30 Counter or by laser light scattering. Microscopic determination generally is within  $\pm 5$  microns of the size measured by the Coulter Counter. From the distribution of microparticle sizes,  $d_{16}$ ,  $d_{50}$  and  $d_{84}$  values are determined and plotted in a log probability

plot. The  $d_{16}$  value represents the size (diameter in microns) at which 16 weight percent of the capsules in the sample are equal to or larger than  $d_{16}$ ,  $d_{50}$  represents the size at which 50 weight percent of the capsules are equal to or larger than  $d_{50}$ , and  $d_{84}$  represents the size at which 84 weight percent of the microparticles are equal to or larger than  $d_{84}$ .

5 Matrix microparticles of the present invention preferably adhere to the surface of seeds and plants. Without being limited by theory, it is thought that the matrix microparticles become trapped in microhairs or in crevices on the seed or plant surfaces. Adhesion of the microparticles to seeds and plants can be enhanced by the use of conventional "sticking agents" or other compounds that have been used for applying 10 various coatings to seeds.

Microparticles can affect the mobility of an active ingredient in soil, since the binding of the matrix material to soil particles can be substantially different than the binding of the active ingredient itself. As a result, depending on the matrix materials selected, compositions according to the present invention can increase the effectiveness 15 of agricultural chemicals that would be rendered less effective due to their tight binding to soil particles.

Also encompassed by the present invention are microparticles according to the present invention that are encapsulated or coated using conventional methods and materials in order to provide further control of release of active ingredients, add 20 additional active or inactive ingredients, or confer desirable properties to the resulting product.

**Polymers.** The term "polymer" or "polymeric material" as used in this invention is taken to mean either a single polymer or copolymer or a combination of different polymers or copolymers.

25 A matrix material is selected so that the rate of release of the active ingredient provides an "agriculturally effective amount" of the active ingredient to a seed or a plant, i.e., an amount of the active ingredient that is effective to achieve adequate crop protection or other desired agricultural activities characteristically associated with the agricultural chemical. Thus, for a biocide, an agriculturally effective amount is an 30 amount that is sufficient to provide commercially acceptable control of a pathogen or pest; for a plant growth regulator, an amount that is sufficient to substantially alter the growth or development of the plant in a manner characteristic of the plant growth

regulator; for a nutrient, an amount that is sufficient to provide at least the minimum amount of the nutrient required for normal plant growth and development; etc. Preferably, the active ingredient is released at a rate that does not cause "substantial phytotoxicity," i.e., does not reduce the growth or vigor of the plant to commercially unacceptable levels or produce other types of unacceptable damage to the plant. Preferably, the active ingredient is released at beneficial levels throughout the period of germination, emergence, and/or later developmental stages of the crop or plant, and most preferably throughout the entire growth period of the plant. The active ingredient may be released by diffusion from the matrix or by the degradation or dissolution of the matrix in the plant, soil or foliar environment. In addition, the polymer and breakdown products thereof, if any, should not interfere with the effectiveness of the active ingredient (or other components, such as the dispersing agent) or be substantially phytotoxic to the seed, seedling or plant.

Examples of suitable polymers for the practice of this invention include but are not limited to the following non-exhaustive list of polymers (and copolymers and mixtures thereof):

poly(methylmethacrylate)  
poly(lactic acid) [Chronopol 50, 95, and 100] and copolymers such as poly(lactic acid-glycolic acid) copolymers [Lactel BP-400] and combinations with polystyrene, for example  
cellulose acetate butyrate  
poly(styrene)  
hydroxybutyric acid-hydroxyvaleric acid copolymers  
[Biopol D400G]  
styrene maleic anhydride copolymers  
[SMA 1440 A Resin, Sartomer Co.]  
poly(methylvinyl ether-maleic acid)  
poly(caprolactone)  
poly(n-amylmethacrylate)  
wood rosin  
polyanhydrides, e.g., poly(sebacic anhydride), poly(valeric anhydride), poly(trimethylene carbonate), etc., and copolymers such as poly(carboxyphenoxypropane-sebacid acid), poly(fumaric acid-sebacic acid), etc.  
polyorthoesters  
poly(cyanoacrylates)  
poly(dioxanone)  
ethyl cellulose  
ethyl vinyl acetate polymers and copolymers  
poly(ethylene glycol)  
poly(vinylpyrrolidone)

acetylated mono-, di-, and triglycerides  
poly(phosphazene)  
chlorinated natural rubber  
vinyl polymers and copolymers  
polyvinyl chloride  
hydroxyalkylcelluloses  
polybutadiene  
polyurethane  
vinylidene chloride polymers and copolymers  
styrene-butadiene copolymers  
styrene-acrylic copolymers  
vinyl acetate polymers and copolymers (e.g., vinyl acetate-ethylene  
    copolymers (Vinumuls) and vinyl acetate-vinylpyrrolidone  
    copolymers  
alkylvinylether polymers and copolymers  
cellulose acetate phthalates  
ethyl vinyl phthalates  
cellulose triacetate  
polyanhydrides  
polyglutamates  
polyhydroxy butyrates  
acrylic polymers (Rhoplexes)  
alkyl acrylate polymers and copolymers  
aryl acrylate polymers and copolymers  
aryl methacrylate polymers and copolymers  
poly(caprolactams) (i.e., the nitrogen-containing counterparts to  
    caprolactones)  
epoxy/polyamine epoxy/polyamides  
polyvinyl alcohol polymers and copolymers  
silicones  
polyesters (for oil-based approaches, including alkyds)  
phenolics (polymers and copolymers with drying oils)

Preferred polymers include:

poly(methylmethacrylate)  
poly(lactic acid) [Chronopol 50, 95, and 100] and combinations  
    with polystyrene  
poly(lactic acid-glycolic acid) copolymers [Lactel BP-400]  
cellulose acetate butyrate  
poly(styrene)

Poly(methylmethacrylate or poly(styrene maleic anhydride copolymer) are currently preferred for use with triazole fungicides such as tebuconazole, cyproconazole, and  
5 epoxiconazole, for example.

It is also contemplated that certain resins such as polyalkyd resins, phenolic resins, abietic acid and epoxy resins would also be suitable for the practice of this invention. Also encompassed by the present invention are filled polymer and copolymer systems, i.e., using calcium carbonate, silica, clay, etc.

5        Active Ingredients. The microparticles of the present invention can include a single agriculturally active ingredient entrapped in a polymeric matrix or multiple active ingredients having similar or different activities (e.g., different biocides, such as fungicides and insecticides). Active ingredients include, but are not limited to, any of various conventional biocides, including fungicides (e.g., triazoles, imidazoles, 10 methoxyacrylates, fungicides in the morpholine series such as fenpropimorph, etc.), herbicides (e.g., glyphosate, phosphinothrin, Triallate, Alachlor, etc.), insecticides (e.g., organophosphorus compounds, imidacloprid, pyrethroids, etc.), nematicides (e.g., Tribute), ascaricides, molluscicides, nematicides, rodenticides, bactericides, and termiteicides; chemical hybridizing agents (e.g., Genesis); herbicide safeners; chemical 15 inducers or elicitors (e.g., protein activators), plant growth regulators (e.g., auxins, cytokinins, gibberellins, etc.), elicitors, or nutrients such as fertilizers, minerals, etc.).

Triazole fungicides suitable for the practice of this invention are exemplified by cyproconazole, epoxiconazole, triticonazole, and tebuconazole with cyproconazole being preferred. A complete discussion of the properties for each of these fungicides can be 20 found in U.S. patent No. 4,664,696, EPA 196038, and U.S. patent 4,723,984 respectively.

Representative fungicides, herbicides, insecticides, and growth regulators that are useful in connection with the present invention are listed below:

**Fungicides**

Benomyl	Fludioxonil
Benzothiadiazoles	Flutolanil
Captan	Fosetyl-Al
Chlorothalonil	Kresoxim-Methyl
Cyproconazole	Mancozeb
Cyprodinil	Metalaxyl
Epoxiconazole	Prochloraz
Fenarimol	Triticonazole
Fenpropimorph	Tebuconazole
	Vinclozolin

**Herbicides**

2, 4-dichlorophenoxyacetic acid	Isoxaflutole
Acetochlor	Lactofen
Alachlor	Mecoprop
Atrazine	Metolachlor
Bensulfide	Metribuzin
Bromacil	Norflurazon
Bromoxynil	Oxadiazon
Butachlor	Propachlor
Chlorsulfuron	Propanil
Clomazone	Quinclorac
Dicamba	Sethoxydim
Dinoseb	Sulcotriione
Diuron	Sulfentrazone
Doclofop methyl	Sulfosulfuron
Flumetsulam	Thiobencarb
Flumiclorac pentyl	Triallate
Glyphosate	Triclopyr
Halosulfuron	Trifluralin
Imazaquin	Vernolate

**Insecticides / Nematicides**

Aldicarb	Fenvalerate
Azinphos-methyl	Imidachloprid
Carbaryl	Lindane
Carbofuran	Malathion
Chlorpyrifos	Methyl Parathion
Cyfluthrin	Monocrotophos
Diazinon	Oftanol
Dicofol	Oxamyl
Disulfoton	Parathion
Endosulfan	Propoxur
Fenamiphos	Pyrethrins

**Growth Regulators**

6-Benzyladenine	Endothall
Alpha-Naphthylactic Acid	Ethepon

Ancymidol	Gibberellic Acid
Chlorpropham	Maleic Hydrazine
Daminozide	Paclobutrazol

### Chemical Hybridizing Agents

Clofenset ( $K^+$  salt)

In addition to active ingredients, the microparticles can also include one or more inactive ingredients such as solvents, dispersants, adjuvants, or plasticizers, and can be  
5 formulated as suspensions, granules, seed coatings, etc., together with other active or inactive ingredients.

**Release of Active Ingredient(s) from Microparticles.** Microparticles according to the present invention release an agriculturally active ingredient in a controlled fashion by diffusion (e.g., in the case of poly(methylmethacrylate or poly(styrene maleic anhydride copolymer) or by disintegration or dissolution of the matrix (e.g., in the case of polylactic acid polymers), depending on the matrix polymer employed. Release rates also vary with the size of microparticles (affecting their surface area/volume ratio). The matrix material is selected to have properties conducive to the appropriate release and action of the agriculturally active ingredient in space and time. Formulations can also be  
10 prepared such that the active ingredient varies in concentration from the outer surface of the matrix microparticle to its core, providing 'programmed' rates and levels of active  
15 release over the duration of the seed germination and subsequent growth periods.

The rate of release of an active ingredient from a microparticle according to the present invention depends on the polymer, size of the microparticles, the loading of the  
20 active ingredient, and the dispersing agent used, if any. The manner in which an active ingredient is released from a microparticle depends on whether the loaded material is suspended or dissolved in the matrix. The steps involved when the active ingredient is dissolved in the matrix material are: diffusion of the active ingredient to the surface of the matrix; partition of the active ingredient between the matrix and the environment or elution medium (e.g., soil water, seed coat, or foliar surface); and transport away from the microparticle surface. In addition, if the active ingredient is dispersed (as macromolecular particles in microparticle), the active ingredient must dissolve into the matrix material before diffusion to the surface.  
25

Another mode of release of the active ingredient is by biodegradation or erosion of the matrix material, the rate of which is influenced by the hydrophobicity or hydrophilicity of the polymer and the morphology of the microparticle, for example. In addition, the active ingredient can be released by swelling of the polymer matrix after 5 imbibition of a liquid such as water. In diffusion-controlled systems the matrix is assumed to be unaffected by swelling, but in swelling-controlled systems the polymer matrix undergoes a transition from a glassy state to a gel state upon interaction with the penetrating solvent. The release rate is determined by the glass-to-gel transition process.

Another factor affecting release rate is osmotic pressure, which can be created 10 inside the microparticle if the active ingredient or polymer has an affinity for water. The active ingredient is released when the osmotic pressure exceeds the maximum force that the matrix of the microparticle can tolerate.

Mathematical models for these release mechanisms are described in U. Pothakamury and G. Barbosa-Canovas, *Trends in Food Science & Technology* 6:397-15 406, 1995; and R. Langer and N. Peppas, *JMS-Rev. Macromol. Chem. Phys.* C(23):61-126, 1983.

**Formulations Including Microparticles.** Conventional inactive or inert ingredients can be incorporated into matrix microparticles or in various media, such as in aqueous media, used for producing suspensions and other formulations of matrix 20 microparticles according to the present invention. Such inactive ingredients include but are not limited to: sticking agents; dispersing agents such as methylcellulose (Methocel® A15LV or Methocel® A15C, for example, serve as combined dispersant/sticking agents for use in seed treatments), polyvinyl alcohol (e.g., Elvanol® 51-05), lecithin (e.g., Yelkinol® P, and polymeric dispersants (e.g., PVP/VA S-630); thickeners (e.g., clay 25 thickeners such as Van Gel® B to improve viscosity and reduce settling of microparticle suspensions); emulsion stabilizers; surfactants; antifreeze compounds (e.g., urea); dyes; colorants; etc.

Any conventional active or inert material can be used for coating seeds with microparticles according to the present invention, such as conventional film-coating 30 materials including but not limited to water-based film coating materials such as Sepiret and Opacoat.

The formulated product, when used as a suspension in an aqueous carrier, preferably comprises a dispersing agent to permit a relatively uniform or homogeneous mixture to form. The dispersing agent preferably also provides a degree of 'tackiness' or adhesiveness to the microparticle formulation in order for the formulation to adhere to treated seeds or other foliar surfaces. Suitable dispersing agents include but are not limited to aqueous 0.25-1.0% poly(vinyl alcohol), such as Elvanol<sup>®</sup> 51-05(DuPont) and Methocel<sup>®</sup> A15LV.

**Methods of producing matrix microparticles.** The matrix microparticles of the present invention can be produced by any process that results in a polymer matrix having active ingredients substantially uniformly distributed therein, including but not limited to solvent evaporation, solvent partition, hot melt microencapsulation, and spray drying.

A preferred process for entrapping the agriculturally active ingredient in accordance with the present invention includes the following steps:

- (A) Preparation of a hydrophobic solution ("oil phase") including an active ingredient and a polymer in an organic solvent;
- (B) Preparation of a hydrophilic solution ("aqueous phase") by dissolving a dispersing agent in water (or an aqueous or alcoholic solution);
- (C) Forming an emulsion by combining the hydrophobic solution with the hydrophilic solution with stirring, homogenization, or sonication;
- (D) Stirring the emulsion formed in (C) until all of the organic solvent of (A) has evaporated. The organic solvent can also be removed at reduced pressure using a rotary evaporator; and
- (E) Optionally isolating the matrix microparticles by allowing the evaporated emulsion formed in (D) to settle, decanting the supernatant liquid from the matrix microparticles, then washing, filtering, and drying the microparticles in air. The microparticles can be resuspended in an aqueous carrier system comprising water and, for example, a dispersing agent, dye or colorant, etc., or the microparticles can be used without isolation.

Specifically, the matrix microparticle entrapment process is carried out by dissolving the agriculturally active ingredient and the polymer in a sufficient amount of organic solvent to form the hydrophobic solution. This dissolution is performed at room temperature or at temperatures not greater than 50°C with mechanical stirring. For

microencapsulating active ingredients according to this general process, the active ingredient is preferentially soluble in the hydrophobic phase rather than in the hydrophilic phase, and is preferably substantially insoluble in the aqueous phase (by substantially insoluble is meant a solubility of less than 1% by weight in water at 25°C).

5     A dispersing agent is then dissolved in sufficient deionized water to form the hydrophilic solution. This dissolution is performed at room temperature with mechanical stirring. The hydrophobic solution is then poured into the hydrophilic solution, while stirring, homogenizing, or sonicating the hydrophilic solution vigorously to form an emulsion, or by any other method conventionally used in the emulsification art. The emulsion

10    consists of microdroplets from the hydrophobic solution that are uniformly dispersed and suspended in the hydrophilic solution. The droplet size and the final size of the matrix microparticle is controlled by shear rate and degree of agitation, the temperature, the volumetric ratio of the dispersed hydrophobic phase to continuous aqueous phase, and the type of dispersing agent used, if any. Stirring of the emulsion is continued until all of

15    the organic solvent is evaporated. Once the organic solvent has evaporated, the supernatant liquid is decanted and the matrix microparticles are washed, filtered, and dried or used, preferably as is.

In the process outlined above, the organic solvent used to prepare the hydrophobic solution should be suitable for co-dissolving the required amounts of the

20    active and polymer to form a hydrophobic (water-immiscible) solution. The organic solvent should not otherwise interact with or alter the intended functions of the active or polymer in the prepared matrix microparticles. The organic solvent should also have adequate volatility at room temperature (sufficiently low boiling point at ambient pressures) in order to evaporate at a reasonable rate from the emulsion. Methylene

25    chloride is an example of an organic solvent suitable for the practice of this invention. Other suitable organic solvents include but are not limited to ethyl acetate, chloroform, and carbon tetrachloride. The amount of organic solvent used in forming the hydrophobic solution ranges from about two to about eight times, and preferably about three times, the weight of the polymer (or of the combined weight of the polymer and the

30    active).

In general, no adjustment of the pH of the system is required during the matrix microparticle process to achieve satisfactory performance and production of entrapped material.

The currently preferred process for producing matrix microparticle of the present invention utilizes solvent evaporation. Briefly, the solvent evaporation technique involves mixing a hydrophobic liquid medium and a hydrophilic liquid medium to produce an emulsion. Emulsions can be made with a hydrophobic phase in a hydrophilic phase or a hydrophilic phase in a hydrophobic phase; the former is currently preferred. Moreover, it is preferred to produce an emulsion in which the volume of the hydrophilic phase is significant excess to the volume of the hydrophobic phase. An active ingredient is added that preferentially dissolves in the hydrophobic phase, and preferably is substantially insoluble in the hydrophilic phase. The shear rate and time period for mixing the hydrophobic and hydrophilic phases is selected so as to produce uniformly dispersed hydrophobic droplets having average diameters in the range from about 0.2 to 15 200 microns, preferably from about 1 to about 50 microns. The solvent is then evaporated from the hydrophobic phase, producing matrix microparticles of the present invention.

In the solvent partition technique (R. Langer *et al.*, *Polymer* 31:547-555, 1990; *Kirk-Othmer Encyclopedia of Chemical Technology*, fourth edition, vol. 16, Curt Thies), 20 an active ingredient is dissolved or dispersed in a volatile organic solvent. The resulting solution is suspended as a fine dispersion in an organic oil into which the organic solvent is extracted to produce microparticles. This technique can be carried out at room temperature and does not require water. As one example, a polymer is dissolved in methylene chloride, the required amount of an active ingredient (e.g., a fungicide) is 25 added, and the mixture is suspended in silicone oil containing a nonionic emulsifier such as Span 85 and additional methylene chloride. After adding the polymer solution to the silicone oil, petroleum ether is added and the mixture is stirred until the matrixed microparticles have hardened. The microparticles can be removed by filtration or centrifugation, washed with petroleum ether, and dried under vacuum.

30 In hot melt microencapsulation (E. Mathiowitz and R. Langer, *J. Contr. Rel.* 5:13-22, 1987; *Microcapsules and Nanoparticles in Medicine and Pharmacy*, ed. M. Donbrow, CRC Press, 1992, pp. 105-107), a polymer melt is mixed with the active

ingredient, which can be suspended or dissolved therein. The resulting mixture is suspended in a nonmiscible solvent (for example, silicone oil or olive oil) that is heated about 5°C above the melting point of the polymer while stirring continuously. After an emulsion is formed and stabilized, it is cooled until the microparticles are solidified.

5 After cooling, the microparticles are washed by decantation with petroleum ether to produce a free-flowing powder. In general, the resulting microparticles are less than about 50 microns in diameter.

**Application of matrix microparticles to soil, seed, or plant.** Controlled-release formulations according to the present invention can be applied to the soil, where it is released and eventually affects a target pest either directly or indirectly, for example, by first being taken up by a plant, a part of which is ingested by the pest.

Microparticle formulations can be applied by any conventional method, including but not limited to: (1) injection of a formulation (e.g., an aqueous suspension of microparticles) directly into the soil around seeds or in the root zone of developing plants (e.g., injection at a point 2 cm deep and within a 3 cm radius of the plant crown); (2) application as a soil drench (e.g., to the point at which the soil is at field capacity); (3) application as a foliar spray, preferably in a sufficient volume to thoroughly wet the foliage; and (4) application directly to seeds as a seed coating. Release of the active ingredient from the microparticles provides a desired biological effect in the zone of application or upon uptake of the active ingredient by the plant.

Matrix microparticles and formulations thereof can be applied to seed using any standard seed treatment methodology and coating material, for example, by mechanical application (for example, using a Hege 11 Liquid Seed Dresser), mixing in a container (e.g., a bottle or bag), etc.

25 Matrix microparticles of the present invention can be applied in combination with other active ingredients that are provided as fast- or slow-release formulations. Slow-release matrix microparticles according to the present invention can also be applied together with a fast-release formulation of the same or different active ingredients (or with microparticles comprising the same active ingredients) in order to achieve, for example, a chemical application regime with an initial high rate of release followed by a slower rate of release over a longer period of time.

## EXAMPLES

### EXAMPLE 1

3.72 g of cyproconazole (96.3% purity; Sandoz Agro, Ltd., Basel, Switzerland) and 14.3 g of polylactic acid (Chronopol® 95; Chronopol, Golden, CO) were dissolved in 5 156 g methylene chloride (Burdick & Jackson, Muskegon, MI) in a glass bottle with shaking to produce a hydrophobic solution.

7.5 g of polyvinyl alcohol (Elvanol® 51-05; DuPont, Wilmington, DE)) was dissolved with stirring in deionized water to produce 1500 g of hydrophilic solution.

The hydrophobic solution was added to the hydrophilic solution and the mixture 10 was vigorously stirred to produce an emulsion. The stirring continued for one hour. Microscopic examination of the appearance and size of the oil droplets in the emulsion showed that the average size of oil droplets was in the 30-50 micrometer (micron,  $\mu$ ) range.

The emulsion was then transferred to a two liter (L) round bottom flask and 15 attached to a rotary evaporator to remove the methylene chloride at a reduced pressure. The final methylene chloride residue was removed by heating the flask in a hot water bath at 40°C while on the evaporator.

The resulting microparticle suspension was filtered on a Buechner funnel to produce a filter cake, which was washed with three portions of deionized water to 20 remove the last traces of aqueous filtrate. The filter cake was then air dried by spreading the product out on a sheet of clean paper.

An aqueous carrier solution was prepared by dissolving urea (Fischer Scientific, Pittsburgh, PA) and Methocel® A15LV (Dow Chemical Co., Midland, MI) in water by stirring. Urea was added as an anti-freeze and Methocel® A15LV as a combined 25 dispersant and non-phytotoxic sticking agent for use in seed treatments. (A clay thickener such as Van Gel® B [R.T. Vanderbilt Co., Inc., Norwalk, CT] are optionally added to the aqueous carrier solution to improve viscosity and reduce settling.) The dry microcapsules were stirred into the aqueous carrier solution to produce a homogenous suspension.

30 The composition of the final formulation (NBP 5881024C) is shown below.

NBP 5881024C

Ingredients	% w/w	Weight (g)	Active Ingredient ("a.i.")
Cyproconazole @ 19.88%	17.72	37.32	7.42 g
Urea	4.49	9.46	
Methocel® A15LV	0.05	1.05	
Water, deionized	<u>77.29</u>	<u>162.79</u>	% a.i. (w/w) = 3.52
	100.00%	210.62	% polymer (w/w) = 14.2

**EXAMPLE 2**

1.56 g cyproconazole (96.3% purity) and 13.44 g cellulose acetate butyrate polymer (Sigma Chemical Co., St. Louis, MO) were dissolved in 82.22 g methylene chloride in a glass bottle with shaking to produce a hydrophobic solution. 1.00 g of 5 Methocel® A15LV and 20 g of urea were dissolved in 64 g deionized water to prepare 85 g of a hydrophilic solution. The hydrophobic solution was added to the hydrophilic solution with stirring to produce an emulsion. The emulsion was stirred for 5 minutes to equilibrate. To reduce the particle size further, the emulsion was sonicated for 5.5 minutes with a sonic dismembrator (Model 550, Fischer Scientific, Pittsburgh, PA) using 10 a variable power range. Cooling was provided by an ice bath to keep the temperature below 30°C. Microscopic examination showed particles mainly in the 4-5 micron range. The emulsion was transferred to a rotary evaporator and methylene chloride stripped off as described in Example 1. The resulting product was passed through a #325 mesh sieve 15 to remove foreign particles and assayed for particle size, density (at room temperature), and % active ingredient.

The following were the properties of the matrix particles (NBP 5932858):

**NBP 5932858 - Properties**

% active ingredient (w/w)	1.72
Density, g/mL	1.11
Ave. particle size, $\mu$	4.4

**EXAMPLE 3**

Following the general procedure of Example 2, matrix microparticle suspensions 20 having the compositions given below were produced. For NBP 5932866, methylene chloride was evaporated with stirring at atmospheric pressure. For NBP 5932869, NBP

5932889A, NBP 5932889B, and NBP 5932893, methylene chloride was evaporated with a rotary evaporator at reduced pressure. For NBP 5932893, Van Gel® B was added as part of the aqueous carrier medium. Poly(methylmethacrylate) (PMM) and polystyrene (50,000 MW) were obtained from Polysciences Inc. (Warrington, PA)

Ingredients	% w/w				
	5932866	5932869	5932889 A	5932889 B	5932893
Cyproconazole*	1.50	1.50	3.00	3.00	3.00
Chronopol® 95	13.50	13.50	-	-	-
PMM	-	-	6.00	12.00	6.00
Polystyrene	-	-	6.00	-	6.00
Methocel® A15LV	1.00	1.00	1.06	1.06	-
Urea	20.00	20.00	-	-	-
Van Gel® B	-	-	-	-	3.40
Water	64.00	64.00	83.94	83.94	81.60
	100.00%	100.00%	100.00%	100.00%	100.00%

\* 100 % a.i. basis. Compensate for purity with polymer

The matrix microparticle suspensions had the following properties:

Properties	5932866	5932869	5932889A	5932889B	5932893
Density R.T.,g/mL	1.10	1.12	1.02	1.04	1.02
Ave. particle size, $\mu$	1.18	2.52	1.84	1.13	138.50
Viscosity*, R.T. cps	spindle # 18	spindle # 18	spindle # 18	spindle # 18	spindle # 31
R.P.M. - 60	11.30	27.90	9.52	16.70	185
30	12.40	28.60	9.92	17.00	329
12	15.50	32.60	11.00	17.00	765
6	22.00	36.10	13.50	21.00	1520

\* Viscosity in centipoise (cps) was determined using a Brookfield viscometer (model LVT, Brookfield Engineering Laboratories, Stoughton, MA) according to manufacturer instructions).

#### **EXAMPLE 4**

5 Following the general procedure of Example 2, matrix microparticle suspensions having the compositions given below were produced:

Ingredients	% w/w		
	NBP 5932889A	NBP 5932898	NBP 6061401
Cyproconazole*	3.00	3.00	3.00
Chronopol® 95	-	-	6.00
PMM	6.00	6.00	-
Polystyrene	6.00	6.00	6.00
Methocel® A15LV	1.06	1.00	1.00
Van Gel® B	-	2.06	2.06
Water	83.94	81.94	83.94
	100.00%	100.00%	100.00%

\* 100 % a.i. basis. Compensate for purity with polymer

10 The matrix microparticle suspensions were analyzed for the following properties:

Properties	5932889A	5932898	6061401
Density, R.T., g/mL	1.02	1.04	1.04
Ave. particle size, $\mu$	1.84	2.06	6.15
Viscosity, R.T. cps	spindle # 18	spindle # 18	spindle # 18
R. P. M.* - 60	9.52	22.70	21.70
30	9.92	26.20	22.90
12	11.00	34.60	25.40
6	13.50	41.60	27.00

\* Revolutions per minute.

#### **EXAMPLE 5**

Following the general procedure of Example 2, matrix microparticle suspensions having the compositions given below were produced. The hydrophilic and hydrophobic 15 solutions were sonicated to produce an emulsion for preparation of NBP 593298 and homogenized with a Silverson L4R laboratory homogenizer (Silverson Machines, Inc.,

East Longmeadow, MA) to produce and emulsion for preparation of NBP 6061406 and NBP 6061409.

Ingredients	% w/w		
	5932898	6061406	6061409
Cyproconazole*	3.00	3.00	3.00
PMM	6.00	6.00	6.00
Polystyrene	6.00	6.00	6.00
Methocel® A15LV	1.00	1.00	1.00
Van Gel B	2.06	2.06	3.00
Water	81.94	81.94	81.00
	100.00%	100.00%	100.00%

\* 100 % a.i. basis. Compensate for purity with polymer.

5

The matrix microparticle suspensions had the following properties:

Properties	5932898	6061406	6061409
Density, R.T., g/ml	1.04	1.04	1.05
Ave. particle size, $\mu$	2.06	3.55	3.20
Viscosity, R.T., cps	spindle # 18	spindle # 18	spindle # 31
R.P.M. - 60	22.70	47.40	192
30	26.20	52.40	265
12	34.60	61.80	446
6	41.60	74.60	685

<sup>a</sup> Gel structure.

#### EXAMPLE 6

Following the general procedure of Example 2, matrix microparticle suspensions having the compositions in the table below were produced. The hydrophilic and hydrophobic solutions were homogenized in a cooling bath in preparing NBP 6061413 and NBP 6061415, but not in preparing NBP 6061406 and NBP 6061411.

Ingredients	% w/w			
	6061406	6061411	6061413	6061415
Cyproconazole*	3.00	3.00	3.00	3.00
PMM	6.00	6.00	6.00	6.00
Polystyrene	6.00	6.00	6.00	6.00
Methocel® A15 C	-	0.75	1.75	0.75
Methocel® A15LV	1.00	-	-	-
Van Gel® B	2.06	-	-	-
Water	81.94	84.25	83.25	84.25
	100.00%	100.00%	100.00%	100.00%

\* 100 % a.i. basis. Compensate for purity with polymer

The matrix microparticle suspensions were analyzed for the following properties:

PROPERTIES	6061406	6061411	6061413	6061415
Density, R.T., g/mL	1.04	1.02	1.02	1.03
Ave. particle size, $\mu$	3.55	69.51	11.00	55.56
Viscosity, R.T. cps	spindle # 18	spindle # 31	spindle # 34	spindle # 31
R.P.M - 60	47.40	97.70	980	82.70
30	52.40	101.00	1020	86.80
12	61.80	105.00	1060	87.70
6	74.60	125.00	1150	95.20

5    EXAMPLE 7

Following the general procedure of Example 1, matrix microparticle suspensions having the compositions given below were produced. In the table below, runs designated (a) employed microparticle preparations that were not filtered, washed and dried after solvent evaporation, runs designated (b) employed microparticles that had been filtered, 10 washed, and air dried as described in Example 1.

Ingredients	% w/w				
	(b)	(a)	(b)	(a)	(b)
MON 24682	NBP 6061422	NBP 6061426	NBP 6061430	NBP 6061436B	
Cyproconazole*	3.52	3.52	3.52	3.52	3.52
Polymer**	14.22 (1)	13.56 (2)	13.62 (2)	13.56 (3)	14.70 (3)
Urea	4.49	4.50	4.46	4.50	4.46
Methocel® A15LV	0.50	0.50	0.47	0.50	0.49
Water	77.29	77.92	77.93	77.92	76.83
	100.00%	100.00%	100.00%	100.00%	100.00%

\* 100 % a.i. basis. Compensate for purity with polymer

\*\* (1) Chronopol® 95; (2) cellulose acetate butyrate; (3) poly (methyl methacrylate).

15

The matrix microparticle suspensions were analyzed for the following properties:

Properties	MON 24682	6061422	6061426	6061430	6061436B
% a.i.	3.19	3.62	3.47	4.41	3.53
Ave. particle size, $\mu$	40.00	193.00	75.00	22.80	70.00

The formulations produced in this Example were applied to wheat as follows. Wheat seed was weighed out into 50 g batches for each treatment. Stock solutions were 20 prepared by weighing out the formulation and adding deionized water to prepare stock

solutions of the high rate for each formulation, then, dilutions were prepared from these stocks to provide various rates of application.

A Hege 11 laboratory scale rotostatic seed treater with a 200-gram small capacity seed treatment drum was used for the seed treatments.

5       For each treatment, a sample batch of seed was treated with the formulation or with the solvent alone to wet up/dirty the drum as recommended by the manufacturer. This seed sample was then discarded. The treatment was then drawn up into a syringe and slowly applied to a new 50 g batch of seed in the seed treater. As soon as the seed appeared dry (usually about 30-45 seconds), it was dumped into a 1 L plastic beaker.  
10      The seed was then transferred to a 4 oz. glass jar for storage. The lid of the jar was left off for several hours to ensure that the seed was totally dry. The treatments were applied in order from the lowest rate to the highest rate for each formulation. After the highest rate for each formulation, the seed treater was thoroughly scrubbed out with absolute ethanol on a paper tissue to prevent contamination of the next formulation. The syringe,  
15      funnel and beaker were also rinsed with ethanol. This procedure was repeated for each of the formulations that were tested.

At the end of all the treatments and/or when active ingredients were changed in the course of the same study, we also used an additional step of cleaning with a solution of soap in water and an additional ethanol wipe.

20      In order to assess the safety of the formulations, wheat seed that had been treated previously with test formulations was seeded in standard 4"-square pots containing sterilized Dupo silt loam soil. Seeding was done at a rate of 12-25 seeds per pot, with four replicate pots of each treatment rate. Seeds were covered with approximately 2 cm of the same soil and incubated under a 12-hour photoperiod, 50% relative humidity at  
25      18°C. Eight to twelve days after planting (DAP), each replicate pot of each treatment was rated for the number of seedlings emerged and the average height of emerged seedlings was estimated. The main indicator of formulation performance was the measure of seedling height relative to untreated control plants (untreated = 100%).

FIG. 1 shows the safety of these treatments, expressed as the height of  
30      germinating wheat at 11 days after planting (DAP) as a percent of the height of untreated controls. For comparison, Alto® 005LS (Sandoz Agro, Ltd., Basel, Switzerland) was

included as a standard, fast-release non-matrix formulation liquid seed treatment of cyproconazole.

#### EXAMPLE 8

Following the general procedure of Example 2, matrix microparticle suspensions having the following compositions were produced. Cellulose acetate butyrate was obtained from Sigma Chemical Co. (St. Louis, MO). SMA® 1440A resin, an ester/styrene maleic anhydride copolymer, was obtained from Sartomer Co. (West Chester, PA). Poly (methyl vinyl ether/maleic acid) was obtained from Sigma Chemical Co. (St. Louis, MO). Wood rosin was obtained from Westvaco (Charleston Heights, NC).

Ingredients	% w/w				
	NBP 59328 75A	NBP 59328 75B	NBP 59328 78A	NBP 59328 84	NBP 59328 86
Cyproconazole*	1.50	1.50	1.50	1.50	1.50
Cellulose acetate butyrate	6.75	—	—	6.75	—
SMA® 1440A Resin	6.75	13.50	—	—	—
Wood rosin	—	—	13.50	—	—
Poly (methyl vinyl ether/maleic acid)	—	—	—	6.75	—
PMM	—	—	—	—	13.50
Methocel® A15C	1.00	1.00	1.00	1.00	1.00
Water	84.00	84.00	84.00	84.00	84.00
	100.00	100.00	100.00	100.00	100.00

\* 100 % a.i. basis. Compensate for purity with polymer

The suspensions produced in this Example were applied to wheat using the procedure set forth in Example 7. FIG. 2 shows the safety of these treatments at 10 days after planting as compared to Alto® 005LS.

**EXAMPLE 9**

Following the general procedure of Example 1, matrix microparticle suspensions having the following compositions were produced. Lactel® BP-400 was obtained from Sigma Chemical Co. (St. Louis, MO).

5

Ingredients	% w/w	
	NBP 5932814A	NBP 932814B
Cyproconazole *	3.0	3.0
Lactel® BP-400	—	12.0
Cellulose acetate butyrate	12.0	—
Methocel® A15LV	1.0	1.0
Water	84.0	84.0
	100.0	100.0

\* 100 % a.i. basis. Compensate for purity with polymer

The suspensions produced in this Example were applied to wheat using the procedure set forth in Example 7. FIG. 3 shows the safety of these treatments at 10 days after planting as compared to Alto 005LS®.

10

**EXAMPLE 10**

Following the general procedure of Example 1, matrix microparticle suspensions having the following compositions were produced.

Ingredients	% w/w	
	932801A-2 (5932805-3)	932801B-2 (5932805-3)
Cyproconazole *	3.00	3.00
Chronopol® 95	10.92	6.12
Polystyrene	1.20	6.00
Methocel® A15LV	1.56	1.61
Water	83.32	83.27
	100.00	100.00

15 \* 100 % a.i. basis. Compensate for purity with polymer.

The suspensions produced in this Example were applied to wheat using the procedure set forth in Example 7. FIG. 4 shows the safety of these treatments at 11 days after planting as compared to Alto® 005LS.

**EXAMPLE 11**

Following the general procedure of Example 1, matrix microparticle suspensions having the following compositions were produced.

Ingredients	% w/w	
	5881012C	5890935G
Cyproconazole*	20.1	3.04
Chronopol® 95	79.9	10.41
Methocel® A15LV	—	1.27
Water	—	85.28
	100.0	100.0

\* 100 % a.i. basis. Compensate for purity with polymer.

The suspensions produced in this Example were applied to wheat using the procedure set forth in Example 7. FIGS. 5 and 6 show the safety of NBP 5881012C at 10 days after planting and 9 days after planting, respectively, as compared to Alto® 005LS.

**EXAMPLE 12**

Following the general procedure of Example 1, matrix microparticle suspensions having the following compositions were produced.

Ingredients	% w/w		
	5881012C	5890983A	5890983B
Cyproconazole*	20	22.3	30
Chronopol® 95	80	77.7	70
	100	100.0	100

\* 100 % a.i. basis. Compensate for purity with polymer.

The suspensions produced in this Example were applied to wheat using the procedure set forth in Example 7. FIG. 7 shows the safety of NBP 5881012C and NBP 5890983A at 9 days after planting as compared to Alto® 005LS. FIG. 8 shows the safety of NBP 5890983A and NBP 5890983B at 10 days after planting as compared to Alto® 005LS.

**EXAMPLE 13**

Following the general procedure of Example 1 and using the ingredients which are listed below matrix microparticle suspensions having the following compositions

were produced. Biopol® D400G was obtained from Monsanto Company (St. Louis, MO). Polyvinylpyrrolidone/vinyl acetate copolymer (PVP/VA S-630), which was used as a dispersant, was obtained from GAF Chemicals Corp. (Wayne, NJ).

Ingredients	% w/w		
	5890983C	5890983D	5890977D
Cyproconazole*	10	22.3	22.3
Biopol D400G	—	77.7	—
Chronopol® 95 + inerts	90	—	77.7**
	100	100.0	100.0

5 \* 100% a.i. basis. Compensate for purity with polymer and inerts.

The suspensions produced in this Example were applied to wheat using the procedure set forth in Example 7. FIG. 9 shows the safety of NBP 5890983C and NBP 5890983D at 10 days after planting as compared to Alto® 005LS. FIG. 10 shows the safety of NBP 5890977D at 10 days after planting as compared to Alto® 005LS.

#### 10 EXAMPLE 14

Following the general procedure of Example 1 matrix microparticle suspensions having the following compositions were produced.

Ingredients	% w/w		
	5881009B	5890935D	5890935E
Cyproconazole	*3.17	*3.01	*3.01
Elvanol® 51-05	1.64	1.26	1.26
Methocel® A15LV	—	—	—
Chronopol® 50	11.06	—	—
Chronopol® 95	—	10.43	—
Chronopol® 100	—	—	10.43
Water	84.13	85.30	85.30
	100.00	100.00	100.00

The suspensions produced in this Example were applied to wheat using the procedure set forth in Example 7. FIG. 11 shows the safety of these suspensions at 10 days after 15 planting as compared to Alto® 005LS.

#### EXAMPLE 15

Following the general procedure of Example 1 and using the ingredients which are listed below matrix microparticle suspensions having the following compositions were produced.

Ingredients	% w/w		
	5890935F	5890935G	5890935H
Cyproconazole	*3.05	*3.04	*3.04
Elvanol® 51-05	-	-	
Methocel® A15LV	1.25	1.27	1.27
Chronopol® 50	10.40		
Chronopol® 95	-	10.41	
Chronopol® 100	-	-	10.41
Water	85.30	85.28	85.28
	100.00	100.00	100.00

5

The suspensions produced in this Example were applied to wheat using the procedure set forth in Example 7. FIG. 12 shows the safety of these compounds at 10 days after planting as compared to Alto® 005LS.

#### EXAMPLE 16

10 Following the general procedure of Example 2 matrix microparticle suspensions having the following compositions were produced. Lucite® #29 was obtained from Polysciences Inc. (Warrington, PA).

Ingredients	% w/w	
	5932844A(1)	5932839A
Cyproconazole	2.95*	1.48*
Lucite® #29	11.80	-
Cellulose acetate butyrate	-	13.27
Methocel® A15LV	1.00	1.00
Water	84.25	84.25
	100.00	100.00

15 \* 100 % a.i. basis. Compensate for purity with polymer.

The suspensions produced in this Example were applied to wheat using the procedure set forth in Example 7. FIG. 13 shows the safety of these compounds at 10 days after planting as compared to Alto<sup>®</sup> 005LS.

### EXAMPLE 17

Following the general procedure of Example 2, a matrix microparticle suspension having the following composition and properties was produced. Tebuconazole was obtained from Bayer (Leverkusen, Germany).

Ingredients (MON 38410)	% w/w
Tebuconazole	*3.52
Chronopol <sup>®</sup> 95	14.35
Urea	4.49
Methocel <sup>®</sup> A15LV	0.50
Water	77.14
	100.0

10

Property	
% Tebuconazole	3.37
Density, g/cc, 24 °C	1.05
Mean particle size, $\bar{x}$	41

\*100% a.i. basis. Compensate for purity with polymer.

### EXAMPLE 18

Following the general procedure of Example 1 a matrix microparticle suspension having the following composition and properties was produced.

Ingredients (MON 38411)	% w/w
Tebuconazole	*2.95
Lucite <sup>®</sup> # 29	11.80
Methocel <sup>®</sup> A15LV	1.00
Water	84.25
	100.0

15

Property	
% Tebuconazole	3.34
Density, g/cc, 24°C	1.03
Particle Size, microns, mean	1.9

\*100% a.i. basis. Compensate for purity with polymer.

MON 38410 and MON 38411 were applied to wheat using the procedure set forth in Example 7. FIG. 14 shows the safety of these compounds at 10 days after planting as compared to Raxil® (Bayer, Leverkusen, Germany), a commercial fast-release formulation of tebuconazole.  
5

#### EXAMPLE 19

Following the general procedure of Example 2 a matrix microparticle suspension having the following composition and properties was produced.

Ingredients (MON 24530)	% w/w
Epoxiconazole	*2.95
Chronopol® 95	11.80
Methocel® A15LV	1.00
Water	84.25
	100.00

10

Property	
% Epoxiconazole	3.01
Density, g/cc, 24 °C.	1.04
Ave. particle size, μ	2.80

\*100% a.i. basis. Compensate for purity with polymer.

#### EXAMPLE 20

Following the general procedure of Example 2 a matrix microparticle suspension having the following composition and properties was produced.

15

Ingredients (MON 24540)	% w/w
Epoxiconazole	*2.95
Lucite® # 29	11.80
Methocel® A15LV	1.00
Water	84.25
	100.00

Property	
% Epoxiconazole	3.18

Density, g/cc, 24°C	-
Particle Size, microns, mean	-

\*100% a.i. basis. Compensate for purity with polymer.

The MON 38410 and MON 38411 suspensions were applied to wheat using the procedure set forth in Example 7. FIG. 15 shows the effects of these suspensions were compared with the effect of Opus® (BASF AG, Limburgerhof, Germany), a commercial fast-release formulation of epoxiconazole. The maximum safe rate of application of Opus as a seed treatment is approximately 1 g / 100 kg seed.

#### EXAMPLE 21

Wheat seeds were coated with an aqueous suspension of the matrix microparticles of the present invention using the following procedure.

The following water-based film coating concentrates were used for the film coating of seeds: Blue Opacoat-AG (Colorcon, West Point, PA), Sepiret 8127 Rouge (Seppic, Paris, France), and Sepiret 2020 A Rouge (Seppie, Paris, France). Sepiret and Opacoat formulas are ready-to-use colored film-forming compositions designed for the application of thin films of biodegradable polymers, usually cellulose derivatives of natural origin.

A batch film coating system (Coating Machinery Systems [CMS] PSC-5, Ames, IA) was used to apply a precision coating to specific amounts of seed. The water-based film coating concentrates were usually diluted to a 15% w/w solids concentration with water before application. Microparticle formulations were usually diluted to an active ingredient concentration of 0.12% w/w and coated on seed as a separate coating (using the procedure of Example 7) or in combination with the water-based film coatings. Drying temperatures were usually inlet/outlet at 100°F / 90°F with air flows at 300 cubic feet per minute (CFM). Added coating weights were usually calculated at about 2% w/w, which provides for uniform coverage. After coating, the individual coated batches of seed were analyzed for cyproconazole content ("found a.i.").

<b>Formulation:</b>	5890993	5890996 C	5932801 A2	5932801 B2
<b>Treatment:</b>	5932805-1	5932805-2	5932805-3	5932805-4

Wheat seed	Q.S.	Q.S.	Q.S.	Q.S.
Cyproconazole @ 100% (ppm)	176, 88, 44, 11	176, 88, 44, 11	176, 88, 44, 11	176, 88, 44, 11
Found a.i. (ppm)	139, 63, 29, -	120, 50, 18, -		

Formulation:	5872190	Alto 005LS	
Treatment:	5932806-1		
Wheat seed	Q.S.	Q.S.	Q.S.
Cyproconazole @100%	176, 88, 44, 11 ppm	176, 88, 44, 22, 11 ppm	176, 88, 44, 22,11 ppm
Found a.i., ppm (2)	156, 77, 36, -	127, 71, 32, 16, 8	129, 52, 27, 14, -

Q.S. = Quantity Sufficient to dilute to the required concentration.

5

Formulation:	5881012C	5881012C	581012C	5890996B
Treatment:	5932803-1	5932803-2	5932803-3	5932803-4
Wheat seed	Q.S.	Q.S.	Q.S.	Q.S.
Methocel® A15LV	110 ppm	-		100 ppm
Urea	-	890 ppm	-	-
Ammonium Sulfate	-	-	890 ppm	
Cyproconazole @100% (ppm)	88, 44, 22, 11	88, 44, 22, 11	88, 44, 22, 11	88, 44, 22, 11
Found a.i., ppm (1)	43, 25, 15, -			51, 17, 10, -

Formulation:	5890935G
Treatment:	5932803-5
Wheat seed	Q.S.
Methocel® A15LV	-
Cyproconazole @100% (ppm)	88, 44, 22, 11
Found a.i., ppm (1)	33, 25, 9, -

FIG. 16 shows the safety of the suspensions at an application rate of 16 g active ingredient per 100 kg seed, as compared with Alto® 005LS. Differences in safety  
10 between the matrix microparticle formulations at lower application rates were less pronounced.

## EXAMPLE 22

In this example, the polymers used were poly(methyl methacrylate) (350,000 MW, Polysciences, Inc., Warrington, PA) or poly(styrene/maleic anhydride) (75% styrene, Sigma Chemical Co., St. Louis, MO). The organic solvent was methylene  
15

chloride (American Chemical Society analytical reagent grade). Dispersing agents used were Methocel A15LV (methyl cellulose, Dow Chemical, Midland, MI); Elvanol 51-05 (polyvinyl alcohol, DuPont, Wilmington, DE); or Yelkinol P (lecithin, Archer Daniels Midland Company, Decatur IL). Active ingredients used were epoxiconazole (technical grade @ 96.2 %, BASF) or tebuconazole (technical grade @ 98.6 %, Bayer).

All formulations were prepared by an oil-in-water (O/W) emulsion/solvent evaporation procedure. Briefly, the polymer and active ingredient were dissolved in methylene chloride at room temperature to form a hydrophobic solution (15 % solids). The hydrophobic (oil, O) phase was added to a cooled (<5 °C) 0.5 - 3.0% aqueous dispersant solution (water, W) and sheared with a Silverson Model L4R homogenizer (large hole screen, 1.5 cm.) for 5 minutes at setting #5 to form an emulsion. The organic solvent was then evaporated with stirring at ambient temperatures in a fume hood. The resulting microparticle preparations were normally passed through a # 60 mesh sieve to remove large particles. These microparticles were examined microscopically with a Leitz Dialux 20EB microscope to check for crystals and microparticle morphology, and evaluated using a Coulter LS-130 particle size analyzer to determine average particle size and the number of modes in the distribution.

To assay the rate of release of active ingredient from microparticle formulations, an aliquot of a formulation was placed in a glass bottle at a concentration at which a released active ingredient was expected to be < ½ the water solubility level. A solvent was then added (water or 10 % acetone). At various intervals, the bottle was shaken (200 times at time 0, 20 times for other intervals). An aliquot was removed, centrifuged 15 minutes at 2700 rpm, and filtered through a 0.45 micron PTFE filter (after discarding the first 2 mL). Assays for epoxiconazole and tebuconazole were then carried out by HPLC.

Microparticle suspensions having the following compositions were produced.

**Epoxiconazole-Containing Matrix Microparticle Formulations -  
Composition (%), w/w**

<u>Ingredients</u>	<u>6239153A</u>	<u>6239162</u>	<u>6151653C</u>
Epoxiconazole (100% a.i. basis)	3.00	3.00	3.00
Polymer	27.00 (a)	12.00 (b)	12.00 (b)
Methocel® A15LV	-	-	2.00
Elvanol® 51-05	4.00	-	-
Yelkinol® P	-	1.50	-

Water	<u>83.50</u> 100.00	<u>83.50</u> 100.00	<u>83.00</u> 100.00
<b>Evaluation Data</b>			
Loading of a.i. (% w/w)	10 %	20 %	20 %
O/W ratio in emulsion	1/5	3/2	3/2
Ave. particle size, mode:	11.35 $\mu$ , trimodal	1.37 $\mu$ , bimodal	3.04 $\mu$ , bimodal
Init. % a.i. / % REA*	3.57 / 0.18	3.44 / 1.00	3.94 / 0.2

Polymers: (a) Poly(methylmethacrylate), (b) Poly(styrene/maleic anhydride)

\* REA = Readily Extractable Active.

5                   **Tebuconazole-Containing Matrix Microparticle Formulations -  
Composition (%), w/w)**

<b>Ingredients</b>	<b>6248014A</b>	<b>6248014B</b>	<b>6248014C</b>
Tebuconazole (100 % a.i. basis)	3.00	4.50	6.00
Polymer*	12.00 (a)	10.50 (a)	9.00 (a)
Methocel® A15LV	1.00	1.00	1.00
Water	<u>84.00</u> 100.00	<u>84.00</u> 100.00	<u>84.00</u> 100.00

**Evaluation Data**

Loading of a.i., %	20	30	40
O/W Ratio in emulsion	3/2	3/2	3/2
Ave. particle size, ,mode:	9.14 $\mu$ , bimodal	7.36 $\mu$ , bimodal	13.48 $\mu$ , trimodal
Init. % a.i. / % REA:	3.25 / 0.68	4.92 / 2.01	5.75 / 2.21

\* (a) Poly(methylmethacrylate), (b) Poly(styrene/maleic anhydride)

10

In addition, phytotoxicity of the formulations on wheat and their release rates into water and into 10% acetone solutions were determined. This information is summarized below.

15                   **Epoxiconazole-Containing Matrix Microparticle Formulations -  
Phytotoxicity and Release Rates**

Formulation	<b>6239153A</b>	<b>6239162</b>	<b>6151653C</b>
% Release, 269 hrs:			
- Water:	0.9	6.56	1.63
- 10 % Acetone:	9.71	46.7	26.6

**Safety on Wheat\***

- 25 g/100 kg seed	96.9% (25.4 g)	66.4% (12.4 g)	92.2% (28.6 g)
- 50 g/100 kg seed	91.8% (46.4 g)	20.0% (42.2 g)	75.4% (53.3 g)
Opus®, 8 g/100 kg	22.5% (6.4 g)		
Control (0 g/100 kg)	100% (0 g)		

\* Plant height as a % of the height of untreated control plants. Numbers in parenthesis are the grams of active ingredient found by assay to be on 100 kg of treated seed.

5                   **Tebuconazole-Containing Matrix Microparticle Formulations - Phytotoxicity and Release Rates**

Formulation	<u>6248014A</u>	<u>6248014B</u>	<u>6248014C</u>
% Release, 269 hrs:			
- Water:	2.53	3.79	14.5
- 10 % Acetone:	24.9	46.2	60.0

**Safety on Wheat\***

- 50 g/100 kg seed	89.7% (47 g)	78.4% (45 g)	70.3% (49 g)
- 75 g/100 kg seed	83.8% (70 g)	77.3% (72 g)	68.7% (78 g)
- 100 g/100 kg seed	78.4% (95 g)	74.1% (92 g)	60.8% (99 g)
Raxil®, 25 g/100 kg	40.3% (26 g)		
Control (0 g/100 kg)	100% (0 g)		

10                  \* % of height of untreated control. Numbers in parenthesis are the grams of active ingredient found by assay to be on 100 kg of treated seed.

15                  Microparticles having the same polymer and active ingredient loading (6239162 versus 6151653C) but using a different dispersant have much different release rates and corresponding differences in safety on wheat. The high release rate and higher initial % REA of 6239162 gives a product having poor safety on wheat. However the same loading in the same polymer processed with a different dispersant gives a recipe with a lower release rate and readily extractable active (REA) and higher safety on wheat. In addition, the rates of release of active ingredients are higher (and safety on wheat decreases correspondingly) as the loading in the microparticle is increased.

20                  This study indicates that release rates can be changed by processing and active ingredient loading (concentration) in the matrixed microparticle. As active ingredient concentration increases, release rates increase likewise. Lower release rates tend to result in higher safety on wheat. When different dispersing agents are used for the preparation

of emulsions, the emulsion quality and the release rate of the resulting microparticles are affected.

Poly(methylmethacrylate) and poly(styrene-maleic anhydride) are useful polymers for producing microparticles that increase the safety of epoxiconazole and tebuconazole on wheat.

### Example 23

For this Example, the polymer used was poly(styrene/maleic anhydride) (75% styrene, Sigma Chemical Co., St. Louis, MO). All organic solvents were of American Chemical Society (A.C.S.) analytical reagent grade. The dispersing agent used was Methocel A15LV (methyl cellulose, Dow Chemical, Midland, MI.). The active ingredients used were as follows: the triazole fungicide triticonazole (technical grade @ 91.8 %, Rhône-Poulenc Ag Co., Research Triangle Park, NC), the herbicide triallate (technical grade @ 94 %; Monsanto Company, St. Louis, MO), the strobilurin fungicide MON 46100 (technical grade @ 95 %; Monsanto Company, St. Louis, MO), the herbicide safener furilazole (MON 13900, technical grade @ 95 %; Monsanto Company, St. Louis, MO); the insecticide chlorpyrifos (high purity grade @ 99 %; Dow Chemical Co., Midland, MI); a morpholine-type fungicide, fenpropimorph (used "as is" in the form of the 750 g/L Corbel® formulation, BASF AG, Limburgerhof, Germany); a carbamoyl imidazole-type fungicide, prochloraz (high purity grade @ 99 %; Monsanto Company, St. Louis, MO).

Matrixed microparticle formulations were prepared by an oil-in-water (O/W) emulsion/solvent evaporation procedure. Briefly, the polymer and active ingredient used were dissolved in methylene chloride at room temperature to form a 15 % solids hydrophobic (oil, O) solution. This oil phase was added to a cooled (<5 °C) 0.5 - 3.0% aqueous dispersant solution (water, W) and sheared with a Silverson Model L4R homogenizer (large hole screen, 1.5 cm.) for 5 minutes at setting #5 to form an emulsion. The organic solvent was then evaporated with stirring at ambient temperatures in a fume hood. The resulting formulations were normally passed through a # 60 mesh sieve to remove large particles and then evaluated. The microparticles were subjected to microscopic examination with a Leitz Dialux 20EB microscope to check for crystals and microparticle morphology and a particle-size evaluation using a Coulter LS-130 particle

size analyzer to determine the average particle size and number of modes in the distribution.

Test compositions were prepared and their physical properties evaluated. The two recipes used are described below. Recipe B was necessary for triticonazole because much crystal growth occurs when recipe A is used. Recipe A produces a 20 % active ingredient loading for the microparticles and Recipe B produces a 5 % loading.

#### Recipes (%), w/w)

<u>Ingredients</u>	<u>Recipe A</u>	<u>Recipe B</u>
Active Ingredient	3.00	0.75
Poly(styrene/maleic anhydride) 75:25	12.00	14.25
Methocel® A15LV	2.00	2.00
Water	<u>83.00</u>	<u>83.00</u>
	100.00	100.00

10

#### Recipe References

<u>Active Ingredient</u>	<u>Reference Number</u>	<u>Recipe</u>	<u>Ave. size (μ)</u>
Triticonazole	NBP 6256301	B	~ 5
MON 46100	NBP 6248096B	A	5.4
Fenpropimorph	NBP 6273923C	A	2.7
Furilazole	NBP 6237196-4	A	~ 5
Triallate	NBP 6237196-3	A	~ 5
Chlorpyrifos	NBP 6237196-2	A	~ 5
Prochloraz	NBP 6237101	A	4.8

15 Particle size analyses were carried out on the MON 46100 and fenpropimorph formulation; estimates from photographs were used for the other formulations.

FIG. 17 shows the safety of NBP 6273923C ["fenpropimorph (matrix)"] at 10 days after planting when applied as a seed treatment to wheat at rates of 50-200 gm a.i./100 kg seed, as compared to Corbel®, a commercial fast-release formulation of 20 fenpropimorph.

FIG. 18 shows the safety of NBP 6248096B ["MON 46100 (matrix)"] at 10 days after planting when applied as a seed treatment to wheat at various rates as compared to unformulated MON 46100 ["MON 46100 (Acetone/H<sub>2</sub>O)"].

#### 25 Example 24

Polymers used were poly(methyl methacrylate) (350,000 MW, Polysciences, Inc., Warrington, PA) and poly(styrene/maleic anhydride) (75% styrene, Sigma Chemical Co., St. Louis, MO) were used. All organic solvents were of American Chemical Society (A.C.S.) analytical reagent grade. Dispersing agents used were Methocel® A15LV (Dow Chemical, Midland, MI) and Elvanol 51-05 (polyvinyl alcohol, DuPont, Wilmington, DE).

Microparticles were prepared by an oil-in-water (O/W) emulsion/solvent evaporation procedure. Briefly, the polymer and active ingredient used were dissolved in methylene chloride at room temperature to form a 15 % solids hydrophobic solution (oil phase, O). This oil phase was added to a cooled (<5 °C) 0.5 - 3.0% aqueous dispersant solution (aqueous solution, W) and sheared with a Silverson Model L4R homogenizer (large hole screen, 1.5 cm.) for 5 minutes at setting #3 to form an emulsion. The solvent was then evaporated with stirring at ambient temperatures in a fume hood. Assays for active ingredient concentration were made and further evaporation of water was carried out to give the calculated final concentration. The resulting microparticles were passed through a # 60 mesh sieve to remove large particles and then evaluated. Several evaluations were made, including microscopic examination with a Leitz Dialux 20EB microscope, pH, density, viscosity, and particle size distribution by Coulter LS-130 particle size analyzer.

Seed treatment formulations, their physical properties, and phytotoxicity data from greenhouse trials are described below. The dispersant used for all of the formulations was Methocel® A15LV, with the exception of MON 24531. This formulation showed better processing with Elvanol® 51-05 as a dispersant.

25

#### Seed Treatment Formulations

<u>Ingredients</u>	<u>% (w/w)</u>	<u>MON 24531</u>	<u>MON 24532</u>	<u>MON 24533</u>	<u>MON 24534</u>	<u>MON 38413</u>	<u>MON 38414</u>	<u>MON 38415</u>
Epoxi-conazole	3.00*	3.00*	3.00*	3.00*	3.00*	-	-	-
Tebu-conazole	-	-	-	-	-	10.00*	10.00*	10.00*
Polymer	27.00(1)	12.00(2)	27.00(2)	12.00(1)		40.00(2)	15.00(2)	40.00(1)
Meth. Cellulose	-	1.86	1.00	1.50	1.40	1.70	1.40	

Polyvinyl Alc.	3.85	-	-	-	-	-	-
Water	<u>66.15</u> 100.00	<u>83.14</u> 100.00	<u>69.00</u> 100.00	<u>83.50</u> 100.00	<u>48.60</u> 100.00	<u>73.30</u> 100.00	<u>48.60</u> 100.00

\* 100 % a.i. basis.

Polymers: (1) poly(methylmethacrylate);  
(2), poly(styrene-maleic anhydride) copolymer 75:25 ratio.

5 The table below provides information regarding physical properties of the microparticle preparations.

#### Physical Properties

CER-9802- <u>Property</u>	8638-F <u>MON</u> <u>24531</u>	8637-F <u>MON</u> <u>24532</u>	8640-F <u>MON</u> <u>24533</u>	8652-F <u>MON</u> <u>24534</u>	8651-F <u>MON</u> <u>38413</u>	8641-F <u>MON</u> <u>38414</u>	8644-F <u>MON</u> <u>38415</u>
% a.i.	2.94	3.01	2.96	2.93	9.39	10.13	9.89
% REA	0.27	0.19	0.03	0.55	0.08	1.19	0.24
t° (C.) when measured	23.7	23.7	24.2	23.0	23.3	23.2	22.9
Density, g/cc	1.07	1.03	1.05	1.03	1.08	1.05	1.10
Viscosity, 60rpm, cps	3.61	2.04	3.34	1.97	19.0	4.46	25.9
pH	7.07	4.02	3.36	6.82	3.31	3.77	6.25

10 **Phytotoxicity of Tebuconazole-Containing Formulations  
[% of Control Height]**

CER-9802- <u>g a.i./ 100 kg seed</u>	-F <u>MON</u> <u>38413</u>	-F <u>MON</u> <u>38414</u>	-F <u>MON</u> <u>38415</u>	-F <u>MON</u> <u>38416</u>	Ref <u>MON</u> <u>38412</u>	Ref. <u>RAXIL</u>
16	-	-	-	-	-	76.3
25	-	-	-	-	95.5	-
50	82.1	69.8	89.7	70.3	89.6	-
75	84.5	64.4	83.8	68.7	-	-
100	-	-	78.4	60.8	-	-

LSD(.05) = 19.14

15 **Phytotoxicity of Epoxiconazole-Containing Formulations  
[% of Control Height]**

CER-9802- <u>g a.i./ 100 kg seed</u>	8638-F <u>MON</u> <u>24531</u>	8637-F <u>MON</u> <u>24532</u>	8640-F <u>MON</u> <u>24533</u>	8652-F <u>MON</u> <u>24534</u>	978221 Ref <u>MON</u> <u>24555</u>	Ref. <u>OPUS</u>
5	-	-	-	-	-	32.5
25	93.8	91.2	93.8	83.0	96.2	8.6

50	83.4	73.3	98.0	43.3	90.5
75	77.9	61.4	86.8	24.3	87.9

Epoxiconazole-containing formulations showed much less phytotoxicity than the commercial reference, Opus®. Likewise, tebuconazole-containing formulations showed less phytotoxicity than the commercial reference, Raxil®.

MON 38412 and MON 24555 were also tested as foliar treatments of soybean. At rates of 50 and 250 ppm active ingredient, soybean plants treated with MON 38412 and MON 24555 as foliar treatments displayed substantially greater vigor than plants treated with Foliculur® and Opus®, particularly at the higher application rate.

FIG. 19 shows the effects of foliar applications of MON 38412, MON 24555, Raxil®, and Opus® on the vigor of soybean plants five days after treatment (on a scale of 1-5, untreated control plants = 5).

FIG. 20 shows the safety of MON 24555, Opus®, MON 38412, and Raxil® at 9 days after planting when applied as seed treatments on soybeans at various rates of application.

We conducted field trials to assess the effectiveness of seed treatments using microparticle formulations in controlling naturally occurring powdery mildew infections of wheat. Four replicates were performed for each treatment. FIG. 21 shows the effectiveness of seed treatments using MON 38414 (30, 45, and 60 g tebuconazole/100 kg seed) and Raxil® (30 g tebuconazole/100 kg seed) in controlling the severity of powdery mildew 42 days on the wheat cultivar Artisan. FIG. 22 shows the effectiveness of seed treatments using MON 38414 and MON 38416 (45 and 60 g active ingredient/100 kg seed) and Raxil® (30 g tebuconazole/100 kg seed) in controlling the severity of powdery mildew 44 days after planting on the wheat cultivar Minaret.

The table below shows the safety of MON 24555, Opus®, MON 38412, and Raxil® at 16 days after planting when applied as seed treatments of pea (*Pisum sativum*) at various rates.

<b>Treatment</b>	<b>Rate (gm ai/100 kg)</b>	<b>VIGOR</b>	
		<b>UNTREATED = 100</b>	<b>16 DAP</b>
MON 24555	0.25		0
MON 24555	1		0.75
MON 24555	5		0
MON 24555	10		0.5

OPUS	0.25	0.75
OPUS	1	1.5
OPUS	5	4
OPUS	10	5
MON 38412	0.25	0.5
MON 38412	1	0.5
MON 38412	5	0.75
MON 38412	10	0.5
RAXIL	0.25	1.25
RAXIL	1	0.75
RAXIL	5	1.5
RAXIL	10	1

The table below shows the safety of NBP 6237101 and Sportak®, a commercial fast-release form of prochloraz, when applied as seed treatments to wheat (cv. Ritmo) at various rates. These results show that NBP 623 6237101 significantly improved the 5 safety of prochloraz, resulting in an increase in the total number of germinants by 11 days after planting and the average height of germinants.

	<u>Treatment</u>	<u>Application Rate (g a.i./100 kg seed)</u>	# Plants			<u>Height (cm)</u>
			<u>5 DAP</u>	<u>8 DAP</u>	<u>11 DAP</u>	
10	6237101	50	72.3	95.0	92.2	40.5
	6237101	100	86.3	92.2	100.3	34.1
	6237101	200	72.2	92.5	95.0	34.3
	Sportak®	50	39.6	73.3	75.8	44.9
	Sportak®	100	5.3	51.4	64.5	32.0
15	Sportak®	200	0.0	29.7	46.1	17.3
	LSD (0.5)				16.6	9.6

### Example 25

We evaluated microparticle formulations containing cyproconazole for efficacy 20 and duration in controlling brown leaf rust of wheat (caused by *Puccinia recondita*) in greenhouse experiments. Wheat seed (cv. Fortuna) were treated with test treatments (5932839A, MON 24682, or MON 24647, all at 32 g cyproconazole per 100 kg seed) and Alto® 005LS (at 1 g cyproconazole per 100 kg seed) and seeded in standard 4" square pots containing sterilized Dupo silt loam soil. Seeding was done at a rate of 1

seed per pot, with four replicate pots of each treatment rate. Seeds were covered with approximately 2 cm of the same soil and incubated under a 12 hour photoperiod, at 50% relative humidity. Growth room temperatures were maintained at 16° C during the 12 hour light period and at 12C during the 12 hour dark period. Twenty days after planting, 5 plants grown from treated seed were inoculated with urediospores of *P. recondita*. The inoculated plants were incubated for 24 hours in a mist tent at 20°C to allow for disease infection. Disease severity was evaluated 8-10 days after inoculation.

FIG. 23 shows control of brown rust of wheat by seed coatings comprising matrix microparticle formulations NBP 5932839A, MON 24682, MON 24647 (each at 32 g 10 cyproconazole per 100 kg seed) and Alto® 005LS (1 g cyproconazole per 100 kg seed). Plants were inoculated 20 days after planting (second leaf stage). Disease severity in untreated control plants was 61.3%. We observed an increase in the efficacy and duration of disease control by matrix microparticle formulations, which permitted treatment of plants with a higher rate of the active ingredient than would be tolerated if 15 the plants were treated with a standard formulation such as Alto® 005LS.

Many changes and modifications of the invention described in this specification will occur to those skilled in the art upon studying the teachings of this specification. All such changes and modifications which are within the spirit of the present invention are intended to be included in the claims.

**WHAT IS CLAIMED IS:**

1. A controlled-release composition for delivering an agricultural chemical to a plant, the composition comprising microparticles having an average diameter of about 0.2 microns to about 200 microns, the microparticles each comprising a polymer matrix and at least one agricultural chemical distributed throughout the polymer matrix.
2. The composition of claim 1 wherein the microparticles comprise about 10 to about 50% by weight of the agricultural chemical.
3. The composition of claim 2 wherein the microparticles comprise about 15% to about 50% by weight of the agricultural chemical.
4. The composition of claim 1 wherein the microparticles comprises about 15 50% to about 99% by weight of the polymer matrix.
5. The composition of claim 1 wherein the microparticles comprises about 50% to about 85% by weight of the polymer matrix.
- 20 6. The composition of claim 1 wherein the microparticles have an average diameter of about one micron to about fifty microns.
7. The composition of claim 6 wherein the microparticles have an average diameter of about three microns to about fifty microns.
- 25 8. The composition of claim 1 wherein the microparticles adhere to a surface of a seed or plant.
9. The composition of claim 1 that is selected from the group consisting of a 30 suspension of the microparticles in an aqueous medium, a wettable powder, wettable granules, dry granules, and a seed coating.

10. The composition of claim 9 comprising a suspension of the microparticles in an aqueous medium.
11. The composition of claim 10 wherein the composition comprises about 5% to about 25% by weight of the agricultural chemical.
12. The composition of claim 1 comprising an amount of the agricultural chemical and releasing the agricultural chemical at a rate such that the composition delivers an agriculturally active amount of the agricultural chemical to the plant for a period of at least about two weeks to about twelve weeks.  
10
13. The composition of claim 1 wherein phytotoxicity of the agricultural chemical is reduced by at least two-fold.
- 15 14. The composition of claim 13 wherein phytotoxicity of the agricultural chemical is reduced by at least five-fold.
- 15 16. The composition of claim 13 wherein phytotoxicity of the agricultural chemical is reduced by at least ten-fold.  
20
17. The composition of claim 1 comprising an amount of the agricultural chemical that is substantially phytotoxic if applied to the surface of the seed as a fast-release formulation of the agricultural chemical  
25
18. The composition of claim 1 consisting essentially of a seed coating comprising the microparticles.

19. The composition of claim 1 wherein the agricultural chemical is selected from the group consisting of a biocide, a plant growth regulator, a chemical hybridizing agent, a plant nutrient, and combinations thereof.

5 20. The composition of claim 19 wherein the agricultural chemical is a triazole fungicide.

21. The composition of claim 20 wherein the agricultural chemical is selected from the group consisting of cyproconazole, epoxiconazole, and tebuconazole.

10 22. The composition of claim 1 wherein the polymer is selected from the group consisting of poly(methylmethacrylate), poly(lactic acid), poly(lactic acid-glycolic acid) copolymers, cellulose acetate butyrate, poly(styrene), hydroxybutyric acid-hydroxyvaleric acid copolymers, styrene maleic anhydride copolymers, poly(methylvinyl ether-maleic acid), poly(caprolactone), poly(n-amylmethacrylate), wood rosin, polyanhydrides, polyorthoesters, poly(cyanoacrylates), poly(dioxanone), ethyl cellulose, ethyl vinyl acetate polymers, poly(ethylene glycol), poly(vinylpyrrolidone), acetylated mono-, di-, and triglycerides, poly(phosphazene), chlorinated natural rubber, vinyl polymers, polyvinyl chloride, hydroxyalkylcelluloses, polybutadiene, polyurethane, 15 vinylidene chloride polymers, styrene-butadiene copolymers, styrene-acrylic copolymers, alkylvinylether polymers, cellulose acetate phthalates, ethyl vinyl phthalates, cellulose triacetate, polyanhydrides, polyglutamates, polyhydroxy butyrates, polyvinyl acetate, vinyl acetate-ethylene copolymers, vinyl acetate-vinylpyrrolidone copolymers, acrylic polymers, alkyl acrylate polymers, aryl acrylate polymers, aryl methacrylate polymers, 20 poly(caprolactams), epoxy resins, polyamine epoxy resins, polyamides, polyvinyl alcohol polymers, polyalkyd resins, phenolic resins, abietic acid resins, silicones, polyesters, and copolymers and combinations thereof.

23. The composition of claim 22 wherein the polymer is selected from the 30 group consisting of poly(methylmethacrylate), poly(styrene), poly(styrene maleic anhydride copolymer), poly(lactic acid), combinations of poly(lactic acid) with poly(styrene), and cellulose acetate butyrate.

24. The composition of claim 1 further comprising a dispersing agent.

25. The composition of claim 24 wherein the dispersing agent is selected from  
5 the group consisting of methyl cellulose, poly(vinyl alcohol), lecithin, and combinations  
thereof.

26. A controlled-release composition for delivering an agricultural chemical  
to a plant, the composition comprising microparticles having an average diameter of  
10 about 3 microns to about 50 microns and comprising a polymer matrix and at least one  
agricultural chemical distributed throughout the polymer matrix, said at least one  
agricultural chemical comprising between about 15% and about 50% of the  
microparticles by weight.

15 27. The composition of claim 26 wherein the microparticles comprise an  
amount of the agricultural chemical and release the agricultural chemical at a rate such  
that the composition delivers an agriculturally effective amount of the agricultural  
chemical to the plant for a period of at least about two to about twelve weeks.

20 28. A seed comprising a seed coating comprising the composition of claim 1.

25 29. A method of delivering an agricultural chemical to a plant comprising  
providing to the plant a composition comprising microparticles having an average  
diameter of about 0.2 microns to about 200 microns and comprising a polymer matrix  
and at least one agricultural chemical distributed throughout the polymer matrix.

30. The method of claim 29 comprising providing the composition to the  
plant by a method selected from the group consisting of application of the composition to  
soil, application of the composition to foliage of the plant, and coating a seed prior to  
germination of the seed to produce the plant.

31. A method of claim 30 comprising applying an amount of the composition to soil or to foliage of the plant such that about 1 g to about 10 kg of the agricultural chemical is applied per hectare.

5 32. A method of claim 30 comprising coating a seed with an amount of the composition such that about 1 gram to about 500 grams of the agricultural chemical is applied to 100 kg of seed.

10 33. The method of claim 30 comprising coating a seed of the plant with the composition, wherein after germination of the seed to produce the plant, the composition releases an agriculturally effective amount of the agricultural chemical to the plant for at least about two to about twelve weeks after germination.

15 34. A method of producing a composition comprising microparticles for controlled release of an agricultural chemical, the method comprising the following steps:

(a) dissolving at least one agricultural chemical and a polymer in an organic solvent to form a hydrophobic solution;

20 (b) mixing the hydrophobic solution and an aqueous medium at a shear rate and for a time period sufficient to produce an emulsion having droplets of the hydrophobic solution dispersed in the aqueous medium;

25 (c) evaporating the organic solvent from the emulsion to produce a plurality of microparticles having an average diameter of about 0.2 micron to about 200 microns and comprising said at least one agricultural chemical distributed throughout a polymer matrix.

35. The method of claim 34 comprising dissolving said at least one agricultural chemical such that the microparticles comprise about 15% to about 50% by weight of the agricultural chemical.

30

36. The method of claim 34 comprising mixing the hydrophobic solution and the hydrophilic solution and evaporating the solvent so as to produce microparticles

having an average diameter of about one micron to about fifty microns upon evaporation of the organic solvent from the emulsion.

37. The method of claim 36 comprising mixing the hydrophobic solution and  
5 the hydrophilic solution and evaporating the solvent so as to produce microparticles  
having an average diameter of about three microns to about fifty microns upon  
evaporation of the organic solvent from the emulsion.

38. The method of claim 34 further comprising suspending the microparticles  
10 in an aqueous medium.

39. The method of claim 34 wherein said at least one agricultural chemical is  
selected from the group consisting of a biocide, a plant growth regulator, a chemical  
hybridizing agent, a plant nutrient, and combinations thereof.  
15

40. The method of claim 39 wherein said at least one agricultural chemical is  
a triazole fungicide.

41. The method of claim 40 wherein said at least one agricultural chemical is  
20 selected from the group consisting of cyproconazole, epoxiconazole, and tebuconazole.

42. The method of claim 34 wherein the polymer is selected from the group  
consisting of poly(methylmethacrylate), poly(lactic acid), poly(lactic acid-glycolic acid)  
copolymers, cellulose acetate butyrate, poly(styrene), hydroxybutyric acid-hydroxyvaleric  
25 acid copolymers, styrene maleic anhydride copolymers, poly(methylvinyl ether-maleic  
acid), poly(caprolactone), poly(n-amylmethacrylate), wood rosin, polyanhydrides,  
polyorthoesters, poly(cyanoacrylates), poly(dioxanone), ethyl cellulose, ethyl vinyl  
acetate polymers, poly(ethylene glycol), poly(vinylpyrrolidone), acetylated mono-, di-,  
and triglycerides, poly(phosphazene), chlorinated natural rubber, vinyl polymers,  
30 polyvinyl chloride, hydroxylalkylcelluloses, polybutadiene, polyurethane, vinylidene  
chloride polymers, styrene-butadiene copolymers, styrene-acrylic copolymers,  
alkylvinylether polymers, cellulose acetate phthalates, ethyl vinyl phthalates, cellulose

triacetate, polyanhydrides, polyglutamates, polyhydroxy butyrates, polyvinyl acetate, vinyl acetate-ethylene copolymers, vinyl acetate-vinylpyrrolidone copolymers, acrylic polymers, alkyl acrylate polymers, aryl acrylate polymers, aryl methacrylate polymers, poly(caprolactams), epoxy resins, polyamine epoxy resins, polyamides, polyvinyl alcohol polymers, polyalkyd resins, phenolic resins, abietic acid resins, silicones, polyesters, and copolymers and combinations thereof.

43. The method of claim 42 wherein the polymer is selected from the group consisting of poly(methylmethacrylate), poly(styrene), poly(styrene maleic anhydride copolymer), poly(lactic acid), combinations of poly(lactic acid) with poly(styrene), and cellulose acetate butyrate.

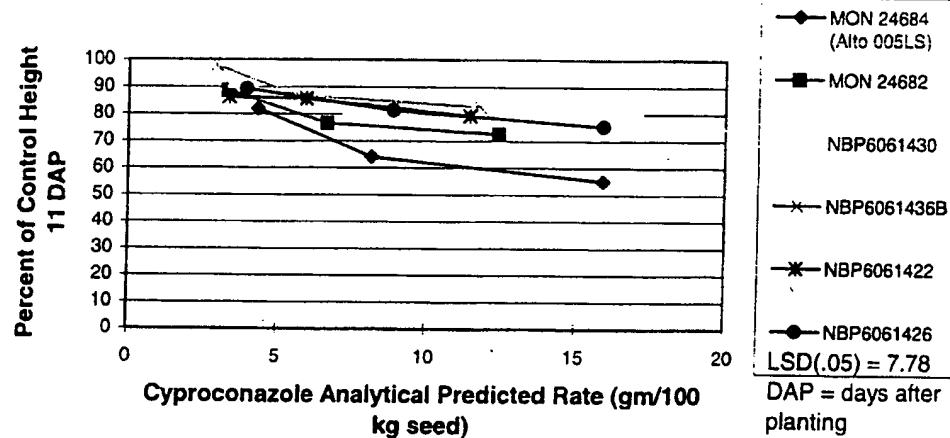
44. The method of claim 34 comprising the step of dissolving a dispersing agent in an aqueous solution to produce the hydrophilic solution.

15

45. The method of claim 44 wherein the dispersing agent is selected from the group consisting of methyl cellulose, poly(vinyl alcohol), lecithin, and combinations thereof.

1/23

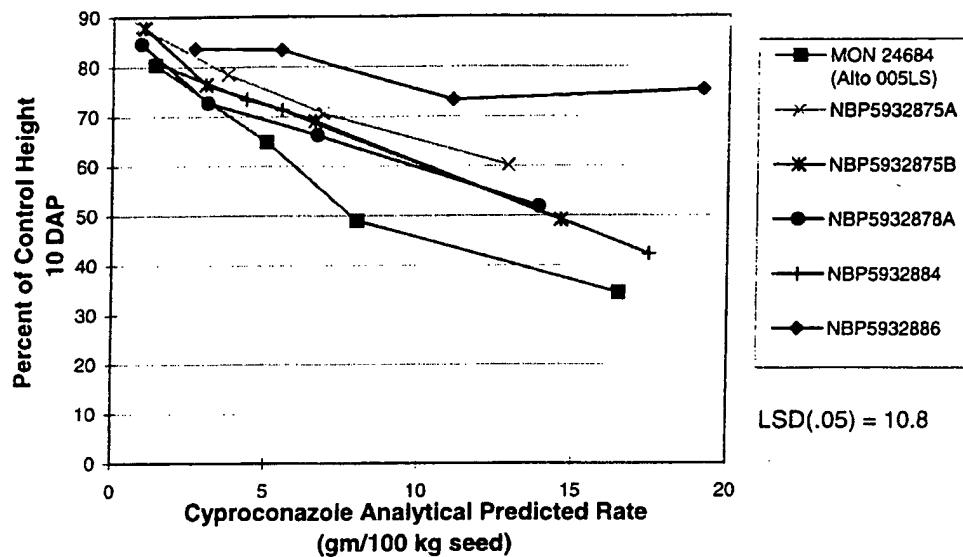
### Evaluation of Matrix Microparticle Formulations for Seed Treatment Safety on Wheat



5

**FIG. 1**

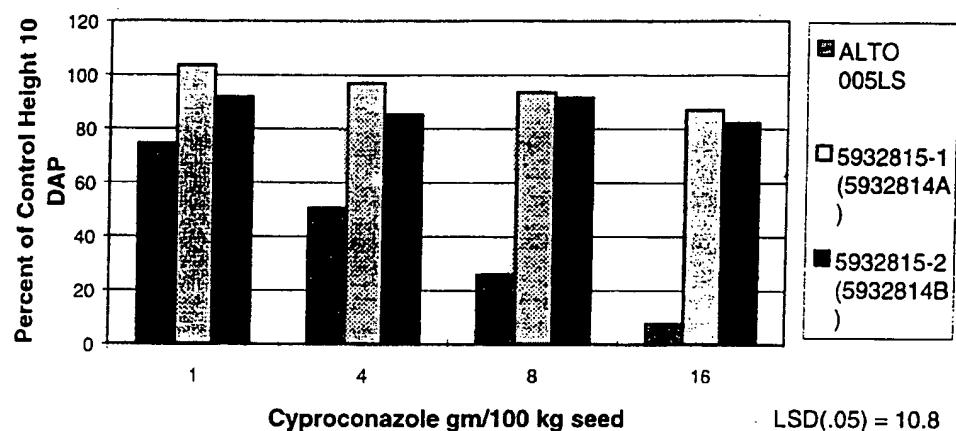
**Evaluation of Matrix Microparticle Formulations for Seed Treatment Safety on Wheat**



**FIG. 2**

3/23

### Evaluation of Matrix Microparticle Formulations for Seed Treatment Safety Phytotoxicity on Wheat

**FIG. 3**

### Evaluation of Matrix Microparticle Formulations for Seed Treatment Safety on Wheat

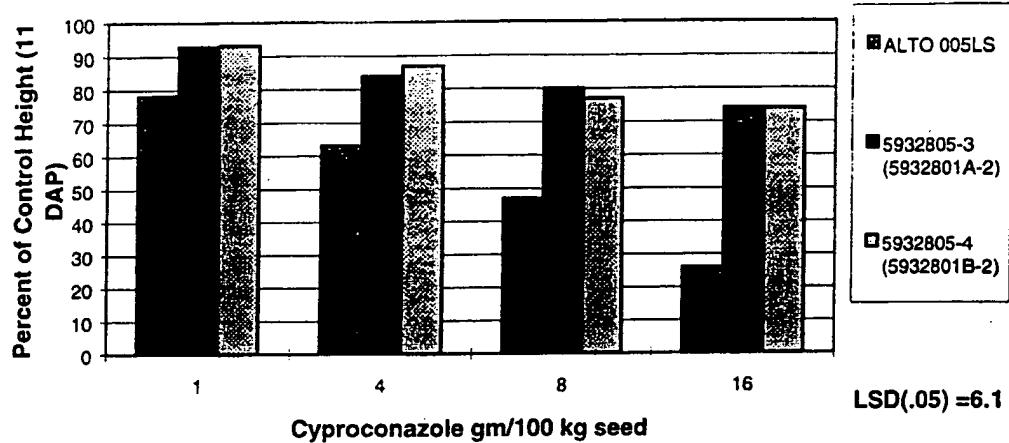


FIG. 4

Evaluation of Matrix Microparticle Formulations for Seed Treatment Safety  
on Wheat

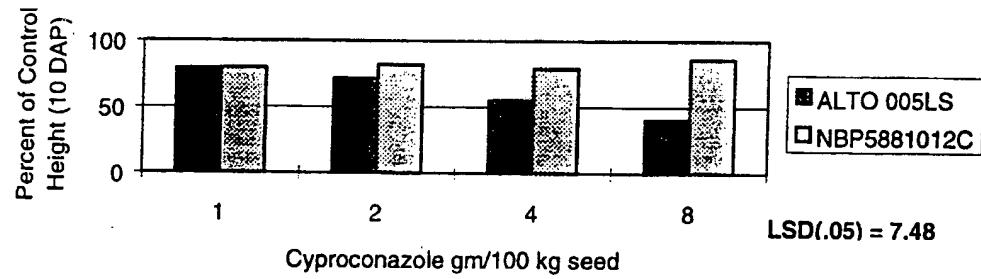
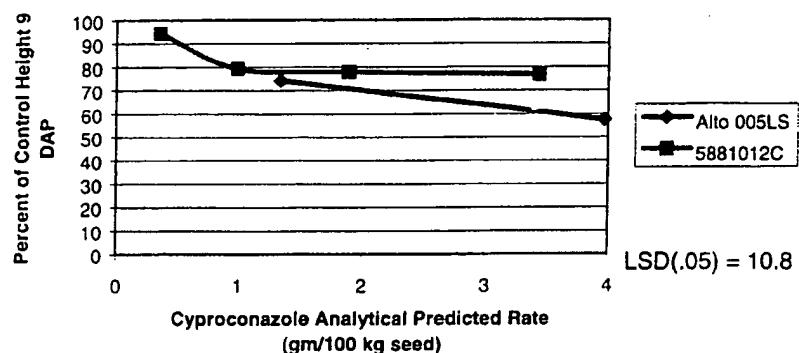


FIG. 5

**Evaluation of Matrix Microparticle Formulations for Seed Treatment Safety on Wheat**



**FIG. 6**

7/23

### Evaluation of Matrix Microparticle Formulations for Seed Treatment Safety on Wheat

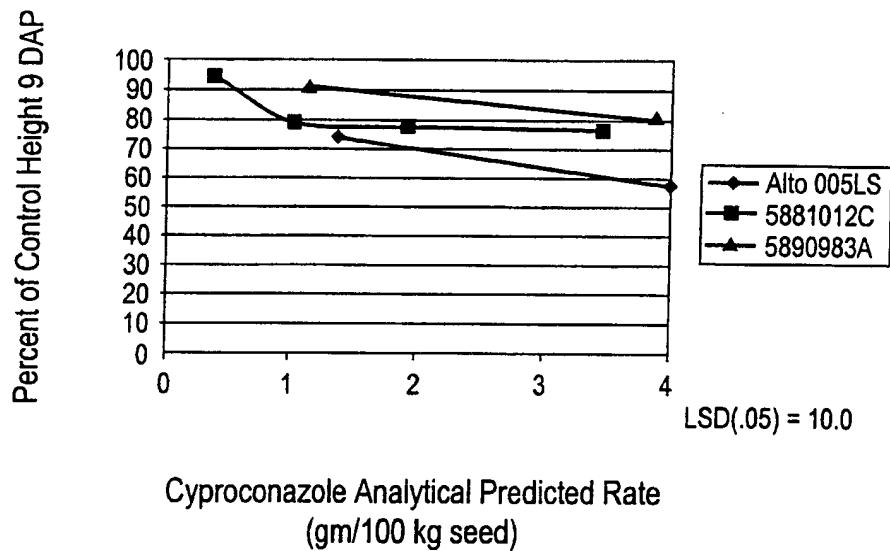


FIG. 7

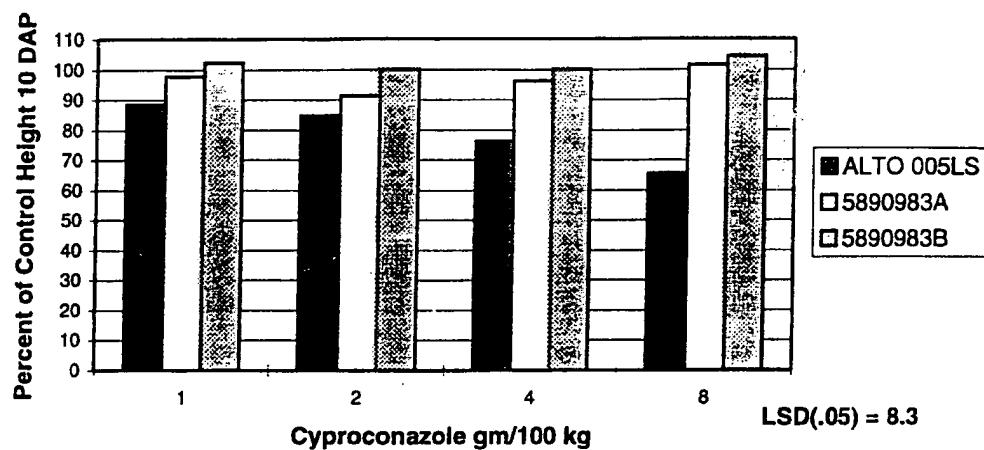
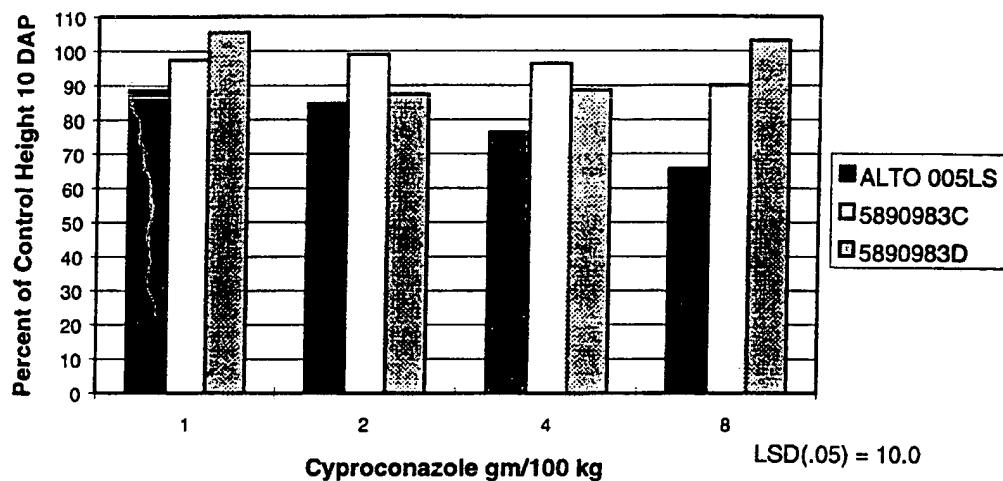


FIG. 8

**Evaluation of Matrix Microparticle Formulations for Seed Treatment Safety on Wheat**



5

**FIG. 9**

Evaluation of Matrix Microparticle Formulations for  
Seed Treatment Safety on Wheat

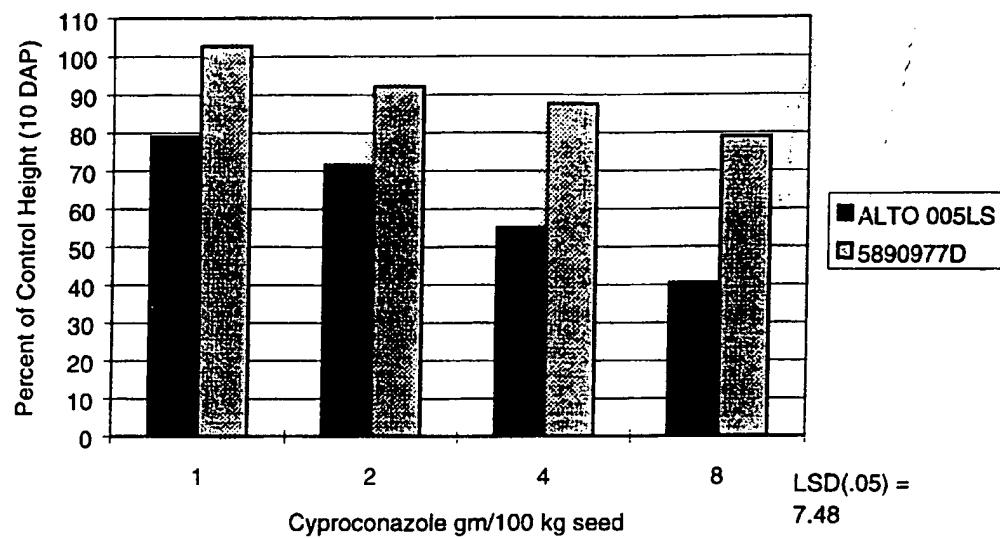
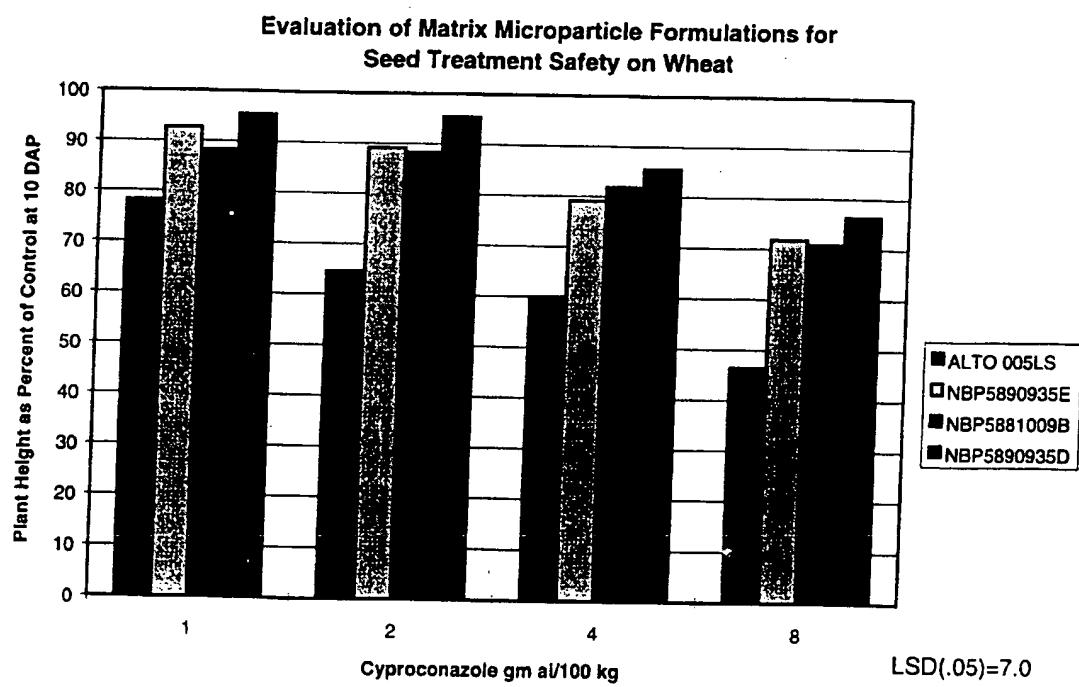
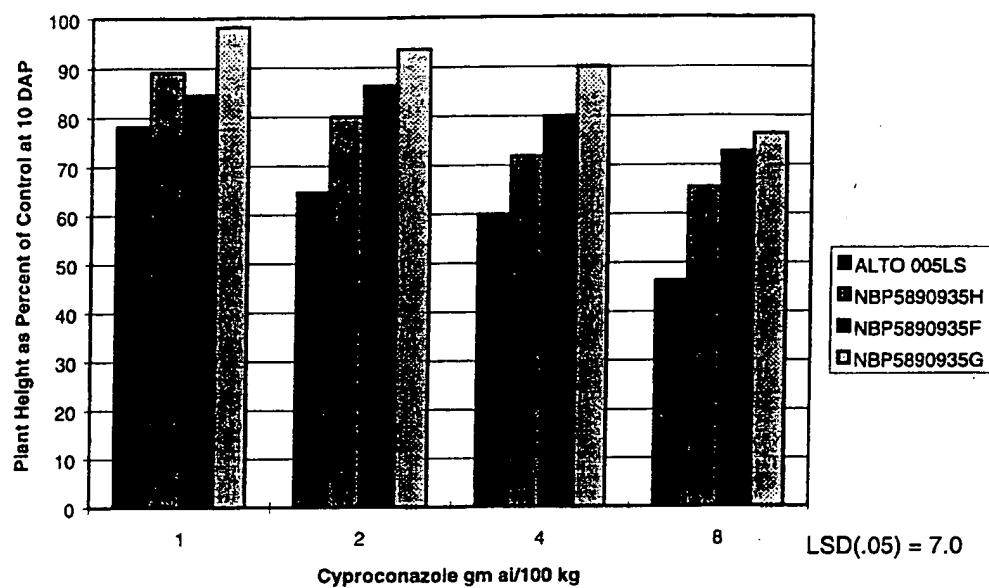


FIG. 10

**FIG. 11**

**Evaluation of Matrix Microparticle Formulations for Seed Treatment Safety on Wheat**



**FIG. 12**

### Evaluation of Matrix Microparticle Formulations for Seed Treatment Safety on Wheat

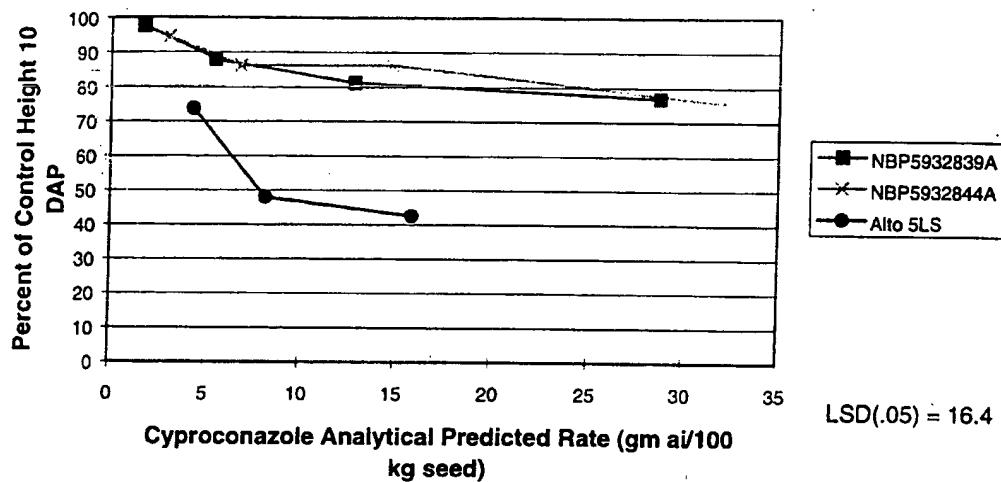


FIG. 13

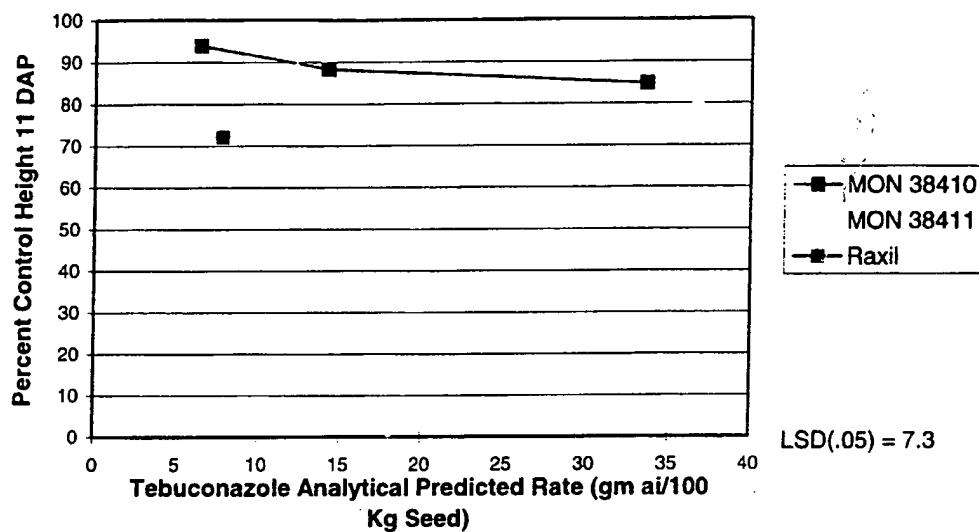


FIG. 14

15/23

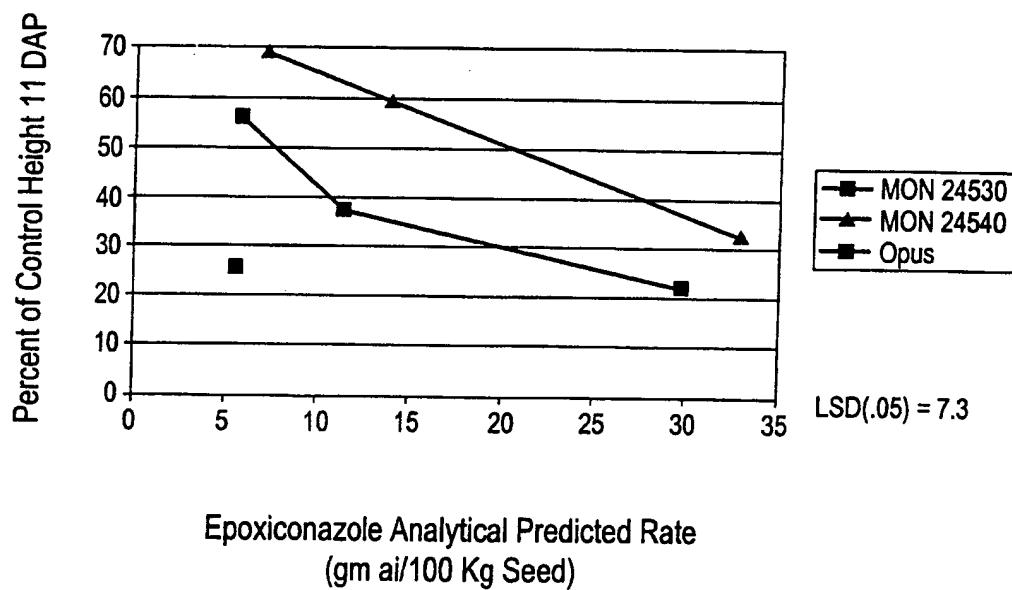


FIG. 15

16/23

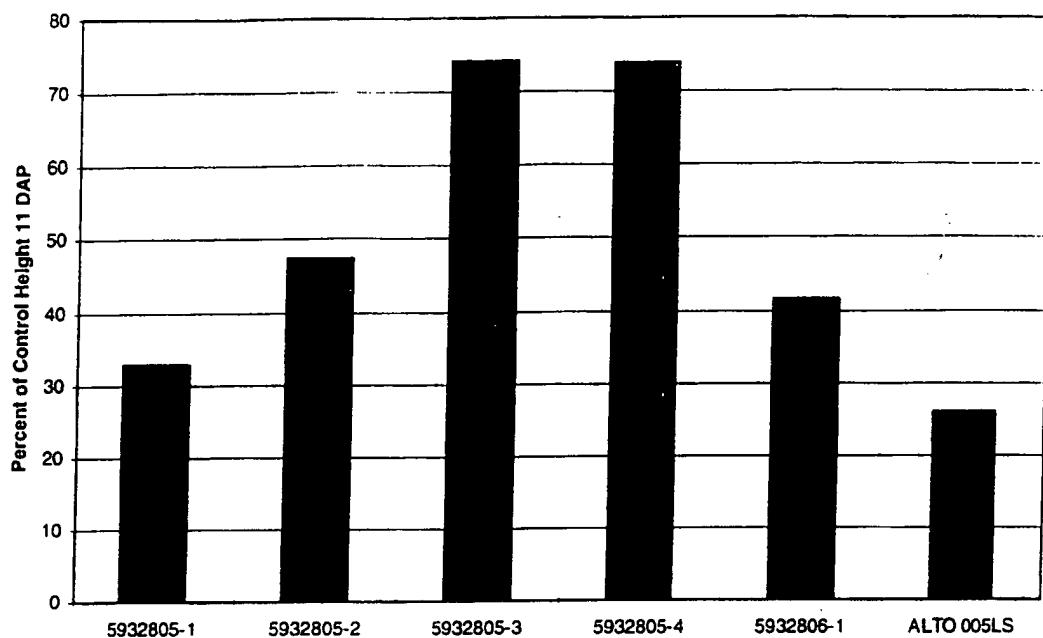


FIG. 16

### Comparative Seed Treatment Safety of fenpropimorph Formulations on Wheat

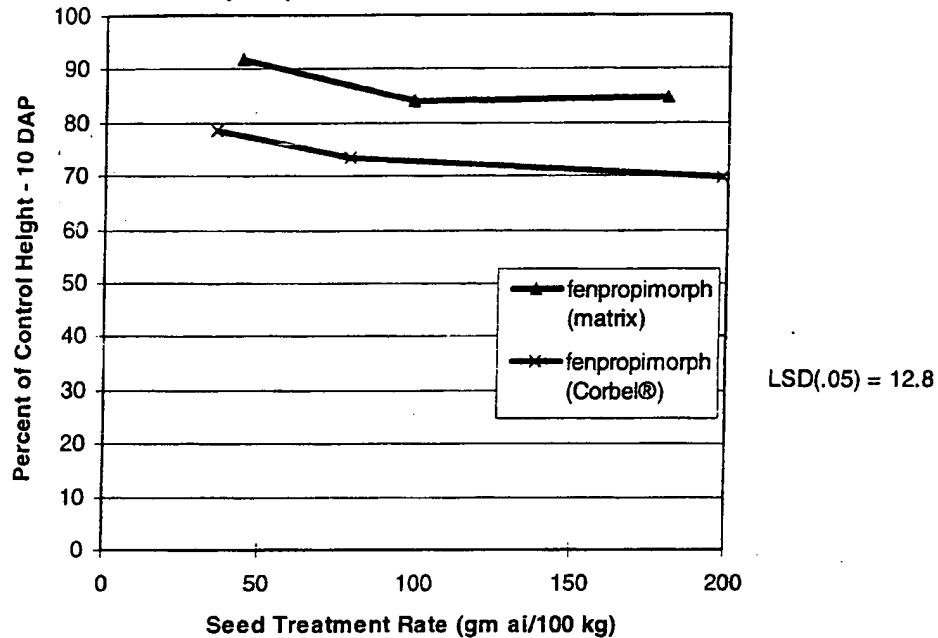


FIG. 17

### Comparative Seed Treatment Safety of MON 46100 Formulations on Wheat

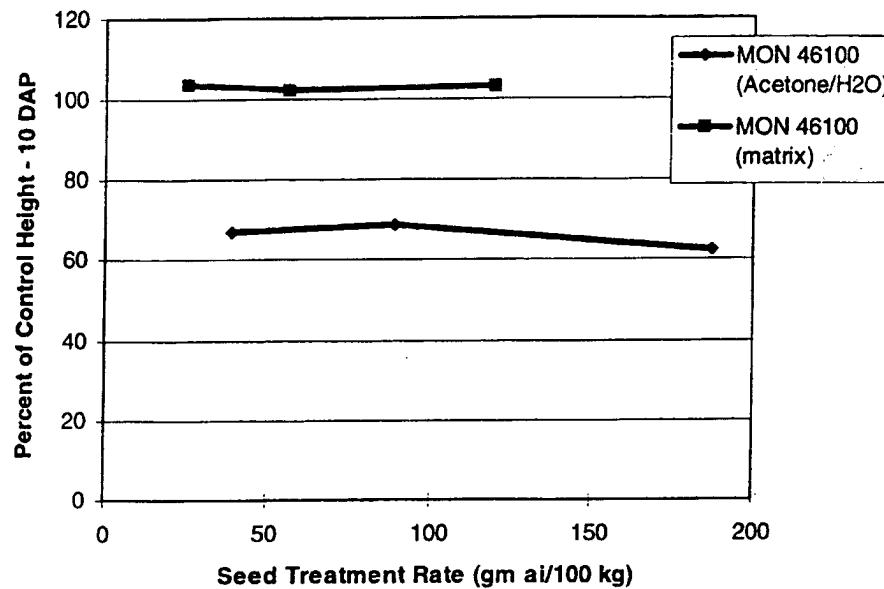
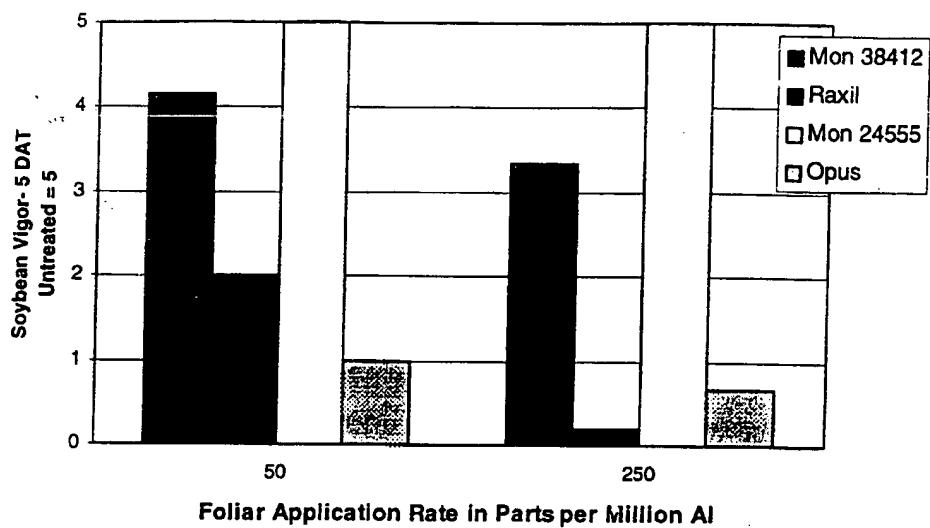


FIG. 18

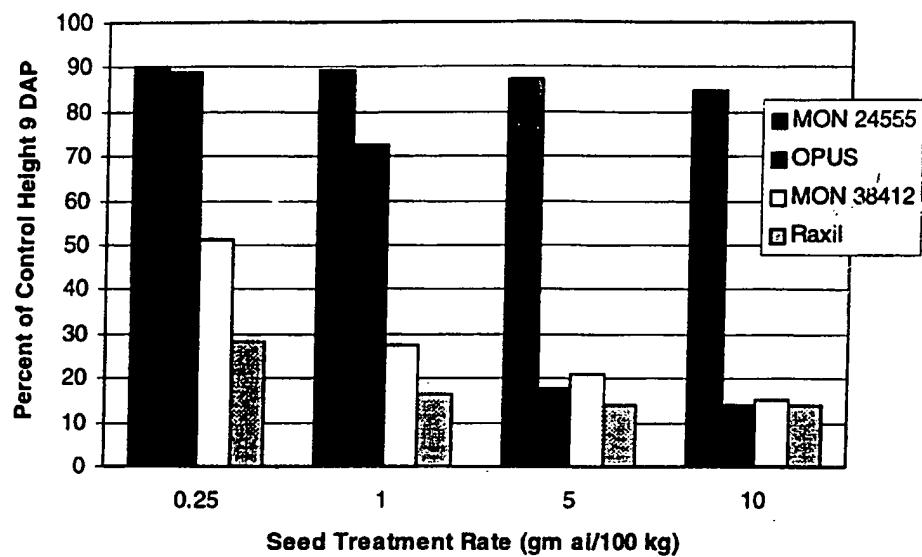
**Comparative Crop Safety of Triazole Formulations Applied as Foliar Applications on Soybean**



5

**FIG. 19**

**Comparative Crop Safety of Triazole Formulations as Seed Treatments on Soybeans**



**FIG. 20**

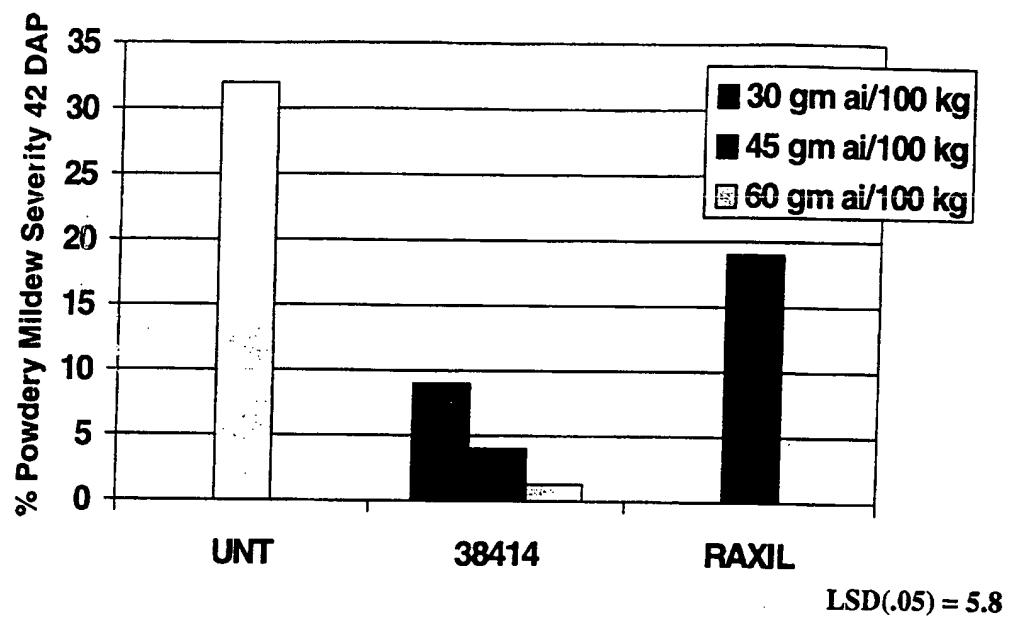


FIG. 21

5

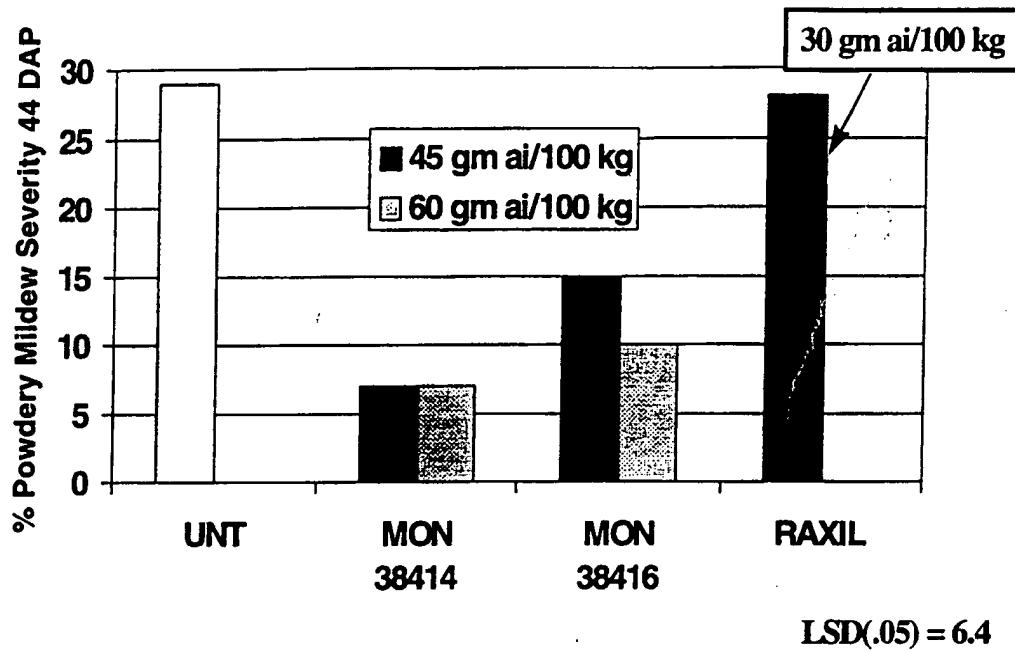


FIG. 22

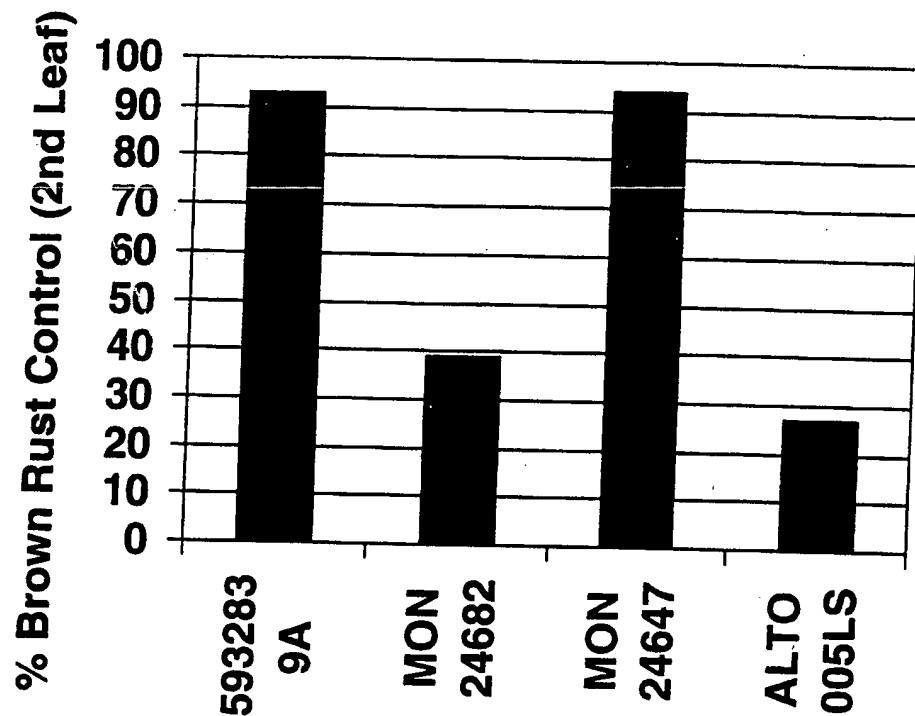


FIG. 23

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>A01N 25/10, 43/653 // (A01N 43/653, 25;10)</b>		A3	(11) International Publication Number: <b>WO 99/00013</b> (43) International Publication Date: <b>7 January 1999 (07.01.99)</b>
(21) International Application Number: <b>PCT/US98/13378</b>		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: <b>26 June 1998 (26.06.98)</b>			
(30) Priority Data: 60/051,285 30 June 1997 (30.06.97) US 09/104,565 25 June 1998 (25.06.98) US			
(71) Applicant: MONSANTO COMPANY [US/US]; 800 N. Lindbergh Boulevard, St. Louis, MO 63167 (US).			
(72) Inventors: BOTTs, M. Francis; 57 Country Creek Drive, St. Peters, MO 63376 (US). KOHN, Frank, C.; 12532 Larkwood Drive, St. Louis, MO 63146 (US). MILLER, Maria, L.; 1102 Greystone Manor Parkway, Chesterfield, MO 63005 (US).			
(74) Agent: SZCZEPANSKI, Steven, Z.; Arnold, White & Durkee, P.O. Box 4433, Houston, TX 77210 (US).			
<b>Published</b> <i>With international search report.</i>			
(88) Date of publication of the international search report: <b>25 March 1999 (25.03.99)</b>			

**(54) Title:** MICROPARTICLES CONTAINING AGRICULTURAL ACTIVE INGREDIENTS**(57) Abstract**

One or more agricultural active ingredients (such as fungicides or insecticides) are entrapped in polymeric matrixes to form microparticles having a diameter in the range from about 0.2 to about 200 microns. The microparticles are applied to soil, to seeds, or to plants and release the active ingredient(s) at a rate sufficiently low to avoid phytotoxicity but at a rate sufficiently high to provide effective amounts of the active ingredient(s), preferably throughout the growing period of the plant.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon	KR	Republic of Korea	PL	Poland		
CN	China	KZ	Kazakhstan	PT	Portugal		
CU	Cuba	LC	Saint Lucia	RO	Romania		
CZ	Czech Republic	LI	Liechtenstein	RU	Russian Federation		
DE	Germany	LK	Sri Lanka	SD	Sudan		
DK	Denmark	LR	Liberia	SE	Sweden		
EE	Estonia			SG	Singapore		

# INTERNATIONAL SEARCH REPORT

International application No

PCT/US 98/13378

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC 6 A01N25/10 A01N43/653 // (A01N43/653, 25:10)

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	AU 28951 89 A (ICI AUSTRALIA OPERATIONS) 31 August 1989  see page 3, line 1 - line 27 see page 4, line 5 - line 10 see page 4, line 12 - line 21 see page 5, line 1 - line 5 see page 5, line 21 - line 30 see page 6, line 11 - line 16 see page 6, line 20 - line 35 see examples 1-3 --- -/- ---	1-19, 22-27, 29-32, 34-39, 42-45

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

17 December 1998

30/12/1998

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.  
 Fax: (+31-70) 340-3016

Authorized officer

Lamers, W

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 98/13378

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DE 31 50 631 A (SAAT & ERNTETECHNIK GMBH) 21 July 1983  see claims 1,7-10 see page 8, line 1 - line 26 see page 10, line 27 - page 11, line 30 ---	1,8,9, 13-18, 28-33
X	EP 0 004 758 A (DU PONT) 17 October 1979  see page 2, line 6 - page 4, line 27 see page 5, line 12 - line 13 ---	1-19, 22-27, 29-31
X	EP 0 201 214 A (NIPPON PAINT CO LTD) 12 November 1986  see the whole document ---	1-19, 22-27, 29-31
X	FR 2 702 929 A (FLAMEL TECH SA) 30 September 1994 see page 1, line 1 - line 6 see page 4, line 4 - line 5 ---	1,19-21
X	US 4 303 642 A (KANGAS DONALD A) 1 December 1981  see the whole document ---	1-19, 22-27, 29-31
X	US 5 302 654 A (ISHII KEIZO ET AL) 12 April 1994  see the whole document ---	1-19, 22-27, 29-31
X	US 4 512 969 A (CHEN TSANG J) 23 April 1985  see the whole document ---	1-19, 22-27, 29-31
X	US 3 929 453 A (FALKEHAG STEN I ET AL) 30 December 1975  see the whole document ---	1-19, 22-27, 29-31
A	EP 0 356 240 A (ALLIED COLLOIDS LTD) 28 February 1990 see column 3, line 22 - line 38 see column 3, line 64 - column 4, line 11 see column 5, line 50 -----	1-45

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International application No

PCT/US 98/13378

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
AU 2895189	A 31-08-1989	AU	609571 B	02-05-1991
DE 3150631	A 21-07-1983	NONE		
EP 0004758	A 17-10-1979	US EG JP	4282209 A 13876 A 54132231 A	04-08-1981 31-03-1983 15-10-1979
EP 0201214	A 12-11-1986	JP	1961909 C 6099244 B 61236702 A 578148 B 1273567 A 3684035 A 4923894 A	25-08-1995 07-12-1994 22-10-1986 13-10-1988 04-09-1990 09-04-1992 08-05-1990
FR 2702929	A 30-09-1994	NONE		
US 4303642	A 01-12-1981	NONE		
US 5302654	A 12-04-1994	JP	5239112 A	17-09-1993
US 4512969	A 23-04-1985	AU BE BR CA CH DE FR GB JP JP JP US US	8482875 A 833512 A 7505957 A 1079432 A 616440 A 2541274 A 2285431 A 1504950 A 1203107 C 51059943 A 58035214 B 4304769 A 4199363 A	24-03-1977 17-03-1976 03-08-1976 10-06-1980 31-03-1980 01-04-1976 16-04-1976 22-03-1978 25-04-1984 25-05-1976 01-08-1983 08-12-1981 22-04-1980
US 3929453	A 30-12-1975	US	RE29238 E	31-05-1977
EP 0356240	A 28-02-1990	AU AU AU AU AU AU AU CA CA DE DE DE DE DE DE DE DK DK DK DK EP EP	637323 B 4022289 A 637577 B 4022689 A 634719 B 4022789 A 1336694 A 1339108 A 68910925 D 68910925 T 68919942 D 68919942 T 68921266 D 68921266 T 2796 A 171065 B 171073 B 171054 B 0361677 A 0356239 A	27-05-1993 08-03-1990 03-06-1993 01-03-1990 04-03-1993 01-03-1990 15-08-1995 29-07-1997 05-01-1994 23-02-1995 26-01-1995 27-07-1995 30-03-1995 22-06-1995 12-01-1996 13-05-1996 28-05-1996 06-05-1996 04-04-1990 28-02-1990

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 98/13378

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
EP 0356240 A		EP 0626445 A		30-11-1994
		FI 893957 A, B,		25-02-1990
		FI 893958 A		25-02-1990
		FI 893959 A, B,		25-02-1990
		GR 3015411 T		30-06-1995
		JP 2102298 A		13-04-1990
		JP 2639844 B		13-08-1997
		JP 2111718 A		24-04-1990
		JP 2824786 B		18-11-1998
		JP 2150280 A		08-06-1990
		NO 175601 B		25-07-1994
		NO 176278 B		28-11-1994
		NO 176248 B		21-11-1994
		US 5460817 A		24-10-1995
		US 5744152 A		28-04-1998
		US 5035900 A		30-07-1991
		US 5324445 A		28-06-1994
		US 5492646 A		20-02-1996

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

THIS PAGE BLANK (USPTO)